Proving KEMTLS in Tamarin or: I used Tamarin and you can too!

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WATERLOO

Based on joint work with Sofía Celi, Jonathan Hoyland, Thom Wiggers

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A Comprehensive Symbolic Analysis of TLS 1.3

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ABSTRACT

The TLS protocol is intended to enable secure end-to-end communication over insecure networks, including the Internet. Unfortunately, this goal has been thwarted a number of times throughout the protocol's tumultuous lifetime, resulting in the need for a new version of the protocol, namely TLS 1.3. Over the past three years, in an unprecedented joint design effort with the academic community, the TLS Working Group has been working tirelessly to enhance the security of TLS.

We further this effort by constructing the most comprehensive, faithful, and modular symbolic model of the TLS 1.3 draft 21 release candidate, and use the TAMARIN prover to verify the claimed TLS 1.3 security requirements, as laid out in draft 21 of the specification. In particular, our model covers *all* handshake modes of TLS 1.3.

Our analysis reveals an unexpected behaviour, which we expect will inhibit strong authentication guarantees in some implementations of the protocol. In contrast to previous models, we provide a novel way of making the relation between the TLS specification and our model explicit: we provide a fully annotated version of the specification that clarifies what protocol elements we modelled, and precisely how we modelled these elements. We anticipate this model artifact to be of great benefit to the academic community and the TLS Working Group alike.

KEYWORDS

symbolic verification, authenticated key exchange, TLS 1.3

1 INTRODUCTION

The Transport Layer Security (TLS) protocol is the *de facto* means for securing communications on the World Wide Web. Initially released as Secure Sockets Layer (SSL) by Netscape Communications in 1995, the protocol has been subject to a number of version upgrades over the course of its 20-year lifespan. Rebranded as TLS when it fell under the auspices of the Internet Engineering Task Thyla van der Merwe Royal Holloway, University of London, UK

Force (IETF) in the mid-nineties, the protocol has been incrementally modified and extended. In the case of TLS 1.2 and below, these modifications have taken place in a largely retroactive fashion; following the announcement of an attack [6, 7, 18, 20, 32, 43, 49], the TLS Working Group (WG) would either respond by releasing a protocol extension (A Request for Comments (RFC) intended to provide increased functionality and/or security enhancements) or by applying the appropriate "patch" to the next version of the protocol. For a more detailed analysis of the development and standardisation of TLS see [45].

Prior to the announcement of the BEAST [26] and CRIME [27] attacks of 2011 and 2012, respectively, such a strategy was valid given the frequency with which versions were updated, and the limited number of practical attacks against the protocol.

Post-2011, however, the heightened interest in the protocol and the resulting flood of increasingly practical attacks against it [1-3, 5, 9, 13, 15, 16, 26, 27, 29, 31, 41, 42, 44] rendered this design philosophy inadequate. Coupled with pressure to increase the protocol's efficiency (owing to the release of Google's QUIC Crypto [37]), the IETF started drafting the next version of the protocol, TLS 1.3, in the Spring of 2014. Unlike the development of TLS 1.2 and below, the TLS WG adopted an "analysis-prior-to-deployment" design philosophy, welcoming contributions from the academic community before official release. There have been substantial efforts from the academic community in the areas of program verificationanalysing implementations of TLS [12, 14], the development of computational models- analysing TLS within Bellare-Rogaway style frameworks [24, 25, 28, 33, 35, 38], and the use of formal methods tools such as ProVerif[17] and Tamarin[48] to analyse symbolic models of TLS [4, 10, 22, 30]. All of these endeavours have helped to both find weaknesses in the protocol and confirm and guide the design decisions of the TLS WG.

The TLS 1.3 draft specification however, has been a rapidly moving target, with large changes being effected in a fairly regular fashion. This has often rendered much of the analysis work 'outdated' within the space of few months as large changes to the specification effectively result in a new protocol, requiring a new wave of analysis.

In this work we contribute to what is hopefully the last wave of analysis of TLS 1.3 prior to its official release. We present a toolsupported, symbolic verification of a near-final draft of TLS 1.3, adding to the large effort by the TLS community to ensure that TLS 1.3 is free of the many weaknesses affecting earlier versions, and that it is imbued with security guarantees befitting such a critical protocol. We note that most of the cryptographic mechanisms in the current TLS 1.3 draft are stable, and other than fluctuations J Cryptol (2021) 34:37 https://doi.org/10.1007/s00145-021-09384-1





A Cryptographic Analysis of the TLS 1.3 Handshake Protocol

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Abstract. We analyze the handshake protocol of the Transport Layer Security (TLS) protocol, version 1.3. We address both the full TLS 1.3 handshake (the one round-trip time mode, with signatures for authentication and (elliptic curve) Diffie–Hellman ephemeral ((EC)DHE) key exchange), and the abbreviated resumption/"PSK" mode which uses a pre-shared key for authentication (with optional (EC)DHE key exchange and zero round-trip time key establishment). Our analysis in the reductionist security framework uses a multi-stage key exchange security model, where each of the many session keys derived in a single TLS 1.3 handshake is tagged with various properties (such as unauthenticated versus unilaterally authenticated versus mutually authenticated, whether it is intended to provide forward security, how it is used in the protocol, and whether the key is protected against replay attacks). We show that these TLS 1.3 handshake protocol modes establish session keys with their desired security properties under standard cryptographic assumptions.

Keywords. Authenticated key exchange, Transport Layer Security (TLS), Handshake protocol.

1. Introduction

The *Transport Layer Security (TLS)* protocol is one of the most widely deployed cryptographic protocols in practice, protecting numerous web and e-mail accesses every day. The TLS *handshake protocol* allows a client and a server to authenticate each other © The Author(s) 2021

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How do we compare them?

•Are they about the same protocol?

- Are they about the same security properties?
 - Adversary interaction
 - Adversary goals

Are they using the same assumptions?

Are they about the same protocol?

Both are about the TLS 1.3 handshake, but as interpreted and abstracted by the authors

Session H4: Formal Verification		CCS	17, October 30-November 3, 2017, Dallas, TX	K, USA
A Compreher	isive Symbo	olic Ana	lysis of TLS 1.3	
Cas Cremers University of Oxford, UK	Marko He MPI-SWS, G	orvat ermany	Jonathan Hoyland Royal Holloway, University of London, UK	
Sam S Royal Holloway Londo	cott , University of 1, UK	Thyla v Royal Hollo Lo	an der Merwe way, University of ındon, UK	
ABSTRACT The TLS protocol is intended to enable secure e nication over insecure networks, including the nately, this goal has been thwarted a number of the protocol's tumultuous lifetime, resulting in	nd-to-end commu- Internet. Unfortu- times throughout he need for a new	Force (IETF) in tally modified a modifications following the a the TLS Workin	the mid-nineties, the protocol has been incr nd extended. In the case of TLS 1.2 and below have taken place in a largely retroactive fa nnouncement of an attack [6, 7, 18, 20, 32, 4 g Group (WG) would either respond by relea	remen- r, these ashion; 13, 49], asing a

- Closer to wire format

 includes most
 fields, extensions
- Includes multiple modes in same analysis



- Cryptographic core of TLS 1.3 handshake
- Multiple modes handled separately

Are they about the same security goals?

Both cover session key security, but starting from different places

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- Covers 6/8 security goals from TLS 1.3 specification
 - Session key secrecy
 with forward secrecy
 - Authentication
 - Agreement

. . .



- Multi-stage AKE definition
 - Builds on longstanding AKE models from [BR93] onwards
 - Session key indistinguishability with forward secrecy
 - Match security

Are they using the same assumptions?

Both assume secure building blocks (signature, DH, KDF, ...), but with very different modelling



- Symbolic model with ideal primitives
- Reasoning based on what can be derived from known terms
- Does model HKDF down to the hash function



- Computational model with computational assumptions
 - EUF-CMA, IND-1CCA, collision resistance, dual PRF, dual-snPRF-ODH,
- Concrete non-tight bounds

. . .

Are they comparable?

Sea Th nic nat nat	ion H4: Formal Verification CCS'17, October 30-November 3, 2017, Dallas, TX, USA CS'17, October 30-November 3, 2017, Dallas, TX, USA CASC Premers Marko Horvat MPI-SWS, Germany Jonathan Hoyland Royal Holloway, University of London, UX Sam Scott Royal Holloway, University of London, UX STACOT TS protorol is intended to enable secure end-to-end comming the announcement of an attack [6, 71 atta debw, these finance in the more informating in the need for a enable of the TS Working Coroup (WG) would either respond by retroactive fashion; following the announcement of an attack [6, 718, 20, 32, 43, 49].	J Cryptol (2021) 34:37 https://doi.org/10.1007/s00145-021-09384-1 A Cryptographic Analysis of the TLS 1.3 Handshake Protocol Benjamin Dowling Department of Computer Science, ETH Zurich, Switzerland	
Same protocol?	Incor	nparable	
Same security goals?	Incomparable		
Same assumptions?	Symbolic ≤ Computational (concrete)		
Same proof method?	Formal ≥ F	Pen-and-paper	
More citations?	184	165	

Are they comparable?

From a practical perspective, not necessarily bad that they are incomparable

More perspectives and more viewpoints means less likely flaws are overlooked

This talk

Proving KEMTLS in paper with the same protocol definition and same security properties as the penand-paper proof

KEMTLS

Reimagining of TLS 1.3 handshake to use key encapsulation mechanisms (KEMs) for implicit authentication, rather than digital signatures for explicit authentication

- Reduce communication sizes in PQ setting since PQ KEMs are in general smaller than PQ signatures
- Can reduce computation costs in some configurations

KEMTLS handshake

KEM for ephemeral key exchange

KEM for server-to-client authenticated key exchange

Combine shared secrets



 $K_2, K'_2, K''_2, K'''_2 \leftarrow \mathsf{KDF}(\mathsf{ss}_e \| \mathsf{ss}_S)$

 $AEAD_{K_2}$ (key confirmation), $AEAD_{K'_2}$ (application data)

 $AEAD_{K_{2}^{\prime\prime}}$ (key confirmation)

 $AEAD_{K_{2}^{\prime\prime\prime}}$ (application data)

Signed KEX versus **KEMTLS**

Labels ABCD:

D = root CA

eCDH X25519,

Dilithium,

Falcon,

Rainbow.

rSA-2048,

Kyber, NTRU.

SIKE,

XMSS'

A = ephemeral KEM

Algorithms: (all level 1)

B = leaf certificateC = intermediate CA



3

2

KEMTLS variants

Traditional communication flow:

- 1. KEMTLS server-only authentication
- 2. KEMTLS mutual authentication

Pre-distributed server public keys:

- 3. KEMTLS-PDK server-only authentication
- 4. KEMTLS-PDK mutual authentication

Proving KEMTLS

Multi-stage authenticated key exchange model for KEMTLS

- \rightarrow Bellare–Rogaway AKE model
- → Multi-stage AKE model [FG14]
- → Multi-stage AKE model for TLS 1.3 [DFG<u>S</u>15]

[BR93] Bellare, Rogaway, Crypto'93. [FG14] Fischlin, Günther, ACM CCS 2014. [DFGS15] Dowling, Fischlin, Günther, Stebila, ACM CCS 2015.



Multi-stage AKE model

<u>Queries</u>

- NewSession
- Send
- CorruptLongTermKey
- RevealSessionKey
- Test

<u>Variables</u>

- Session π
- π .owner
- π .peerid
- π .role
- π .stage
- *π*.sid[1..M]
- *π*.cid[1..M]

- *π*.key[1..M]
- π .state
- Model parameters
- *π*.auth[1..M]
- π.fs[1..M][1..M]
- *π*.use[1..M]

Multi-stage AKE model

Security properties

Match security

- 6 conditions about session identifier matching
- Offline deniability

- Multi-stage key
 indistinguishability
 - 3 levels of forward secrecy
- Authentication (malicious acceptance)
- Per-stage properties
- Can be retroactively upgraded by acceptance of later stages

Limitations of pen-and-paper proofs

- Mostly written out for session-key indistinguishability for KEMTLS and KEMTLS-PDK server-only auth variants
 - No explicit games / reductions*
 - But only as reliable as the authors and the readers are

- Proof sketches for session-key indistinguishability of remaining variants
- •Hand-waving argument for offline deniability
- Variants handled independently

* In the public versions. I did write them out for KEMTLS in a private version with painful LaTeX macros.

Formal verification using Tamarin

- Tamarin prover is a model checker for security protocols in the symbolic model
- Protocol and adversary powers are specified as a set of state machine transitions ("multiset rewriting rules")
- Security property is specified as a predicate over actions recorded during state machine transitions
- Tamarin prover explores (infinite) state space of all possible executions to find an execution trace that violates the security property or verifies that none exists (or fails to terminate)

Formal verification using Tamarin

- Tamarin successfully used on many academic and real-world cryptographic protocols
- Especially effective on key exchange protocols
 - Note Tamarin models key exchange security based on *learning* session key, not *indistinguishability*

- Tamarin model of TLS 1.3 drafts [CHSV,CHHSV] found several flaws
 - Especially in interactions between different protocols modes
 - e.g. in TLS 1.3 pre-shared key resumption
 - Expensive: months of personeffort, 1 week of computation time, 100 GB RAM

[CHSV] Cremers, Horvat, Scott, van der Merwe, IEEE S&P 2016. [CHHSV] Cremers, Horvat, Hoyland, Scott, van der Merwe, ACM CCS 2017.

Modelling KEMTLS using TamarinApproach 1Approach 2

https://github.com/thomwiggers/TLS13Tamarin

- Adapt [CHHSV] full-scale Tamarin model of TLS 1.3 to KEMTLS
- High resolution protocol specification: captures TLS message format, internal KDF structure, ...
- Lower resolution security properties
- Required more human effort to get proofs running automatically

https://github.com/dstebila/KEMTLS-Tamarin

- Encode pen-and-paper multistage AKE definitions in Tamarin
- Lower resolution protocol specification: "core cryptographic" of KEMTLS
 - E.g. No TLS message structure
- Higher resolution security properties
- Simpler to specify and automatically proves

Footumo	In mo	odel of
reature	Approach 1	Approach 2
Protocol modelling		
Encrypted handshake messages	✓	×
HKDF and HMAC decomposed into hash calls	\checkmark	×
Key exch. and auth. KEMs are the same algorithm	\checkmark	×
TLS message structure	\checkmark	×
Security properties		
Adversary can reveal long-term keys	✓	✓
Adversary can reveal ephemeral keys	\checkmark	×
Adversary can reveal intermediate session keys	×	1
Secrecy of handshake and application traffic keys	\checkmark	\checkmark
Forward secrecy	\checkmark	1
Multiple flavours of forward secrecy	×	1
Explicit authentication	\checkmark	1
Deniability	×	1

<u>Queries</u>

<u>Variables</u>

Pen-and-paper	Tamarin approach 2
	KetGenLTK
NewSession	KEMTLS_SAUTH_ClientAction1
Send	KEMTLS_SAUTH_ClientAction2
CorruptLongTermKey	OCorruptLTK
RevealSessionKey	ORevealSessionKey
Test	No Test oracle – symbolic model based on key recovery

Tamarin approach 2
Thread identifier tid
ProtocolMode fact
Owner fact
Peer fact
Role fact
Implicit part of state
SID facts
CID facts
SK facts
Auth facts
FS facts
Replayable facts
State fact

Protocol state machine (oracles)



Model parameters

Parameter	KEMTLS Server-only auth.	KEMTLS Mutual auth.	KEMTLS-PDK Server-only auth.	KEMTLS-PDK Mutual auth.
auth^C auth^S	$(6^{\times 6})$ $(\infty^{\times 6})$	same as server-only auth. $(5^{\times 5}, \infty)$	$egin{array}{c} (4^{ imes 4},\infty)\ (\infty^{ imes 5}) \end{array}$	$(4^{ imes 4},\infty) \ (5^{ imes 5})$
FS^C	wfs1 wfs1 wfs1 wfs1 wfs1 fs wfs1 wfs1 wfs1 wfs1 fs wfs2 wfs2 wfs2 fs wfs2 wfs2 fs wfs2 fs fs	same as server-only auth.	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ wfs2 & wfs2 & fs & fs \\ & wfs2 & fs & fs \\ & & fs & fs \end{pmatrix}$	same as server-only auth.
FS^S	$FS^S_{i,j} = wfs1 ext{ for all } j \geq i$	(wfs1 wfs1 wfs1 wfs1 fs fs wfs1 wfs1 wfs1 fs fs wfs1 wfs1 fs fs sfs fs fs fs fs	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ \text{wfs1 wfs1 wfs1 wfs1 } \\ \text{wfs1 wfs1 wfs1 } \\ \text{wfs1 wfs1 } \\ \text{wfs1 } \text{wfs1} \\ \text{wfs1} \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ wfs1 wfs1 wfs1 fs \\ wfs1 wfs1 fs \\ wfs2 fs \\ fs \end{pmatrix}$
replay	$(nonreplayable^{ imes 6})$	same as server-only auth.	$(replayable,nonreplayable^{ imes 4}$) same as server-only auth.

Theorems

Pen-and-paper	Tamarin approach 2
	Reachable : It is possible for the adversary to cause stage i of protocol mode j to accept with its intended security properties.
	Attacker works: It is possible for the adversary to learn session key of stage i of protocol mode j (when no freshness restrictions).
Match security	Match security properties 1, 2, 3, 4, 5, 6, 7
Multi-stage session key indistinguishability * Single protocol mode available	Session key unrecoverability of keys tagged nofs (client), nofs (server), wfs1, wfs2, fs * All protocol modes available simultaneously
Authentication	Authentication
Offline deniability	Offline deniability (transcript indistinguishability using observational equivalence)

Definition B.3 (Freshness). Stage *i* of a session π is said to be *fresh* in the sense of weak forward secrecy 1 if:

- (1) the stage key was not revealed $(\pi.\text{revealed}_i = \text{false});$
- (2) the stage key of the partner session at stage *i*, if the partner exists, has not been revealed (for all *i*, π' such that π.sid_i = π'.sid_i, we have that π'.revealed_i = false);
- (3) there exists $j \ge i$ such that $\pi.FS_{i,j} = wfs1, \pi.status_j = accepted$, and there exists a contributive partner at stage *i*.

lemma sk_security_wfs1: "

All tid_owner i cid_i sid_i sk_i #taccept #tcid #tsid #tsk .

// if stage i has accepted a session key with corresponding contributive and session identifiers

Accept(tid_owner, i) @ #taccept & SK(tid_owner, i, sk_i) @ #tsk

& CID(tid_owner, i, cid_i) @ #tcid & SID(tid_owner, i, sid_i) @ #tsid

 ${\ensuremath{\textit{//}}}$ and it is fresh in the sense of wfs1, namely that

// (1) the stage key was not revealed

& not(Ex #t . RevealedSessionKey(tid_owner, i) @ #t)

// and (2) the stage key of the partner session at stage i, if the partner exists, has not been revealed & not(

Ex tid_partner #tt1 #tt2 . not(tid_owner = tid_partner)

& SID(tid_partner, i, sid_i) @ #tt1 & RevealedSessionKey(tid_partner, i) @ #tt2)

// and (3) there exists $j \ge i$ s.t. Pi.FS_{i,j} = wfs1, Pi.status_j = accepted,

// and there exists a contributive partner at stage i

& (

Ex j #tfs #tacceptj . FS(tid_owner, i, j, 'wfs1') @ #tfs

& Accept(tid_owner, j) @ #tacceptj

& (Ex tid_peer #tacceptjpeer #tcidpeer . not(tid_owner = tid_peer)

& Accept(tid_peer, j) @ #tacceptjpeer & CID(tid_peer, i, cid_i) @ #tcidpeer))

// then the session key cannot be learned by the adversary

==> not(Ex #t . KU(sk_i) @ #t)"

Tamarin runtimes for Approach 2

Lemma		KEMTLS		KE	EMTLS-PI	ОК	All 4
	sauth	mutual	both	sauth	mutual	both	variants
reachable_*	0:01:17	0:01:20	0:04:32	0:01:46	0:01:36	0:04:40	0:13:25
attacker_works_*	0:00:17	0:00:46	0:01:16	0:00:17	0:00:23	0:00:53	0:12:04
match_*	0:01:02	0:01:22	0:02:55	0:00:55	0:01:14	0:02:46	0:09:53
<pre>sk_sec_nofs_client</pre>	0:00:05	0:00:07	0:00:16	0:00:05	0:00:05	0:00:14	0:00:41
<pre>sk_sec_nofs_server</pre>	0:00:05	0:00:06	0:00:12	0:00:05	0:00:06	0:00:14	0:00:40
sk_sec_wfs1	0:00:21	0:00:10	0:01:05	0:00:17	0:00:18	0:00:41	0:03:00
sk_sec_wfs2	0:00:36	0:00:28	0:01:30	0:00:28	0:00:22	0:01:23	0:24:28
sk_sec_fs	0:01:20	0:03:05	0:06:38	0:01:21	0:01:33	0:05:07	1:39:58
malicious_accept.	0:00:13	0:01:40	0:04:13	0:00:17	0:00:22	0:01:39	27:29:37
deniability (abbr.)	0:01:02	0:12:15		0:00:24	0:29:10		—
Total (excl. den.)	0:05:16	0:09:05	0:22:38	0:05:30	0:06:00	0:17:38	30:13:46

Are they comparable?

	KEMTLS pen-and-paper	KEMTLS Tamarin approach 2
Same protocol?	Pretty close	
Same security goals?	Pretty close*	
Same assumptions?	Computational ≥ Symbolic	
Same proof method	Pen-and-paper ≤ Formal	

* Most significant difference: session key indistinguishability versus session key recovery

Tamarin found bugs in pen-and-paper proof

Parameter	KEMTLS r Server-only auth.	KEMTLS Mutual auth.	KEMTLS-PDK Server-only auth.	KEMTLS-PDK Mutual auth.
auth ^C	(6 ^{×6})	same as server-only auth. $(5^{\times 5}, \infty)$	$(4^{ imes 4}, \mathbf{\infty}) \ (\mathbf{\infty}^{ imes 5})$	$(4^{ imes 4}, \infty)$ $(5^{ imes 5})$
secu lemn have t	he properties right	same as server-only auth.	$\begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ wfs2 & wfs2 & fs & fs \\ & wfs2 & fs & fs \\ & & fs & fs \end{pmatrix}$	same as server-only auth.
FS ^S	FS ^S _{i,j} = wfs1 for all $j \ge i$	<pre>/ (wfs1 wfs1 wfs1 wfs1 fs fs</pre>	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ wfs1 wfs1 wfs1 wfs1 wfs1 \\ wfs1 wfs1 wfs1 \\ wfs1 wfs1 \\ wfs1 \\ wfs1 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 & 0 \\ wfs1 wfs1 wfs1 fs \\ wfs1 wfs1 fs \\ wfs2 fs \\ fs \end{pmatrix}$
replay	$(nonreplayable^{ imes 6})$	same as server-only auth.	$(replayable, nonreplayable^{\times 4})$) same as server-only auth.

It's not that scary

- I'd only done a Tamarin tutorial before this
- No intermediate lemmas
- No manual proving in Tamarin; everything proved automatically
- About 30-40 hours of work encoding protocol and security properties
- Can it be used by someone who wasn't a creator of the tool?

It was fun

- Got to run some big computing jobs!
- Validates that prose-based pen-and-paper models can be rigorized
- I found it rewarding to see it come together and validate the pen-and-paper work

Proving KEMTLS in Tamarin I used Tamarin and you can too! Douglas Stebila

KEMTLS

Implicitly authenticated TLS without handshake signatures using KEMs

- Saves bytes on the wire, server cycles
- Variants for client authentication and pre-distributed public keys

Can encode multi-stage AKE model in Tamarin

Same protocol, same security properties
 All four protocol variants simultaneously
 Not too hard to state or prove

Identified bugs in pen-and-paper proofs

<u>https://kemtls.org/</u> <u>https://eprint.iacr.org/2020/534</u> • <u>https://eprint.iacr.org/2021/779</u> <u>https://datatracker.ietf.org/doc/html/draft-celi-wiggers-tls-authkem-00</u> <u>https://github.com/thomwiggers/TLS13Tamarin</u> • <u>https://github.com/dstebila/KEMTLS-Tamarin/</u>

Appendix

KEMTLS

exchange

Phase 1: ephemeral key

Server

TCP SYN TCP SYN-ACK

 $(pk_e, sk_e) \leftarrow KEM_e.Keygen()$ ClientHello: pk_e , $r_c \leftarrow s \{0, 1\}^{256}$, supported algs.

> ES←HKDF.Extract(0,0) dES←HKDF.Expand(ES, "derived", Ø)

> > $(ss_e, ct_e) \leftarrow KEM_e.Encapsulate(pk_e)$ ServerHello: $ct_e, r_s \leftarrow s \{0, 1\}^{256}$, selected algs.

 $ss_e \leftarrow KEM_e.Decapsulate(ct_e, sk_e)$

HS←HKDF.Extract(dES, ss_e) accept CHTS←HKDF.Expand(HS, "c hs traffic", CH..SH) accept SHTS←HKDF.Expand(HS, "s hs traffic", CH..SH) stage 2

 $dHS \leftarrow HKDF.Expand(HS, "derived", \emptyset)$

{EncryptedExtensions}_{stage2} {ServerCertificate}_{stage2}: cert[pk_S], int. CA cert.

 $(ss_S, ct_S) \leftarrow KEM_s.Encapsulate(pk_S)$ {ClientKemCiphertext}_{stage1}: ct_S

 $ss_S \leftarrow KEM_s$.Decapsulate(ct_S, sk_S)

 $AHS \leftarrow HKDF.Extract(dHS, ss_S)$

 accept CAHTS←HKDF.Expand(AHS, "c ahs traffic", CH..CKC)
 stage 3

 accept SAHTS←HKDF.Expand(AHS, "s ahs traffic", CH..CKC)
 stage 4

dAHS←HKDF.Expand(AHS, "derived", Ø)

MS←HKDF.Extract(dAHS,0) fk_c←HKDF.Expand(MS,"c finished",0) fk_s←HKDF.Expand(MS,"s finished",0)

{ClientFinished}_{stage3}: CF \leftarrow HMAC(fk_c, CH..CKC)

abort if CF \neq HMAC(fk_c, CH..CKC)

accept CATS←HKDF.Expand(MS, "c ap traffic", CH..CF) stage 5

record layer, AEAD-encrypted with key derived from CATS

{ServerFinished}_{stage4}: SF \leftarrow HMAC(fk_s, CH..CF)

abort if SF \neq HMAC(fk_s, CH..CF)

accept SATS←HKDF.Expand(MS, "s ap traffic", CH..SF)

record layer, AEAD-encrypted with key derived from SATS

Phase 3: Confirmation / explicit authentication

Phase 2: Implicitly authenticated key exchange

KEMTLS with client authentication

TCP SYN TCP SYN-ACK $(pk_e, sk_e) \leftarrow KEM_e.Keygen()$ ClientHello: pk_e , $r_c \leftarrow s \{0, 1\}^{256}$, supported algs. $ES \leftarrow HKDF.Extract(0, 0)$ $dES \leftarrow HKDF.Expand(ES, "derived", \emptyset)$ $(ss_e, ct_e) \leftarrow KEM_e$.Encapsulate(pk_e) ServerHello: $ct_e, r_s \leftarrow \{0, 1\}^{256}$, selected algs. $ss_e \leftarrow KEM_e$. Decapsulate(ct_e, sk_e) $HS \leftarrow HKDF.Extract(dES, ss_e)$ accept CHTS←HKDF.Expand(HS, "c hs traffic", CH..SH) stage $accept SHTS \leftarrow HKDF.Expand(HS, "s hs traffic", CH..SH)$ stage 2 $dHS \leftarrow HKDF.Expand(HS, "derived", \emptyset)$ {EncryptedExtensions}_{stage2} {ServerCertificate}_{stage2}: cert[pk_S], int. CA cert. {CertificateRequest}_{stage} cha $(ss_S, ct_S) \leftarrow KEM_s.Encapsulate(pk_S)$ {ClientKemCiphertext}_{stage1}: ct_S $ss_S \leftarrow KEM_s$. Decapsulate(ct_S, sk_S) $AHS \leftarrow HKDF.Extract(dHS, ss_S)$ accept CAHTS←HKDF.Expand(AHS, "c ahs traffic", CH..CKC) accept SAHTS←HKDF.Expand(AHS, "s ahs traffic", CH..CKC) Implicitly dAHS←HKDF.Expand(AHS, "derived", Ø) {ClientCertificate}_{stage3}: cert[pk_C], int. CA cert. ŝ $(ss_C, ct_C) \leftarrow KEM_c.Encapsulate(pk_C)$ Pha {ServerKemCiphertext}_{stage4}: ct_C $ss_C \leftarrow KEM_c.Decapsulate(ct_C, sk_C)$ $MS \leftarrow HKDF.Extract(dAHS, ss_C)$ $fk_c \leftarrow HKDF.Expand(MS, "c finished", \emptyset)$ ntication $fk_s \leftarrow HKDF.Expand(MS, "s finished", \emptyset)$ {ClientFinished}_{stage_3}: CF \leftarrow HMAC(fk_c, CH..SKC) **abort** if $CF \neq HMAC(fk_c, CH..SKC)$ plicit accept CATS←HKDF.Expand(MS, "c ap traffic", CH..CF) record layer, AEAD-encrypted with key derived from CATS {ServerFinished}_{stage4}: SF \leftarrow HMAC(fk_s, CH..CF) ÷ **abort** if SF \neq HMAC(fk_s, CH..CF) accept SATS←HKDF.Expand(MS, "s ap traffic", CH..SF) stage 6 Pha record layer, AEAD-encrypted with key derived from SATS

Server

Client

KEMTLS-PDK overview

Client	Server	Client	Server
Knows pk_S	static (KEM_s): pk_S, sk_S	static (KEM _c): pk_C , sk_C	static (KEM_{s}): pk_S, sk_S
$(pk,sk_e) \leftarrow KEM_e,Kevgen()$		Knows pk_S (pk_{\cdot}, sk_{e}) $\leftarrow KEM_{e}, Kevgen()$	
$(ss_S, ct_S) \leftarrow KEM_e.Encapsulate(pk)$	5)	$(ss_S, ct_S) \leftarrow KEM_e.Encapsulate($	(pk_S)
		$K_S \leftarrow K$	$DF(ss_S)$
pk_e, ct_S	. →	$pk_e, ct_S, AEAD$	$_{K_{S}}\left(cert\left[pk_{C} ight] ight)$
$ss_S \leftarrow$	$KEM_{s}.Decapsulate(ct_S,sk_S)$	ss_S	$\leftarrow KEM_{s}.Decapsulate(ct_S,sk_S$
$(ss_e,ct_e$	$) \leftarrow KEM_{e}.Encapsulate(pk_{e})$	$(ss_e,$	$ct_e) \gets KEM_e.Encapsulate(pk_e)$
		(ss_C,c)	$ct_C) \leftarrow KEM_{c}.Encapsulate(pk_C)$
ct_e		ct	e
$ss_e \leftarrow KEM_{e}.Decapsulate(ct_e,sk_e)$		$ss_e \leftarrow KEM_{e}.Decapsulate(ct_e,sk$	e)
		$K_1 \leftarrow KD$	$F(ss_S \ ss_e)$
		AEAD _F	$\kappa_1(ct_C)$
		$ss_C \gets KEM_c.Decapsulate(ct_C,s$	$k_C)$
$K, K', K'', K''' \leftarrow \mathbf{K}$	$VDF(ss_S \ ss_e)$	$K_2,K_2',K_2'',K_2''' \leftarrow$	$KDF(ss_S \ ss_e \ ss_C)$
$AEAD_K(\mathrm{key}\ \mathrm{con}$	firmation)	$AEAD_{K_2}(\mathrm{key})$	confirmation)
$AEAD_{K'}(\operatorname{applica}$	tion data)	$AEAD_{K_2'}(\operatorname{app})$	lication data)
$AEAD_{K''}(\mathrm{key}\ \mathrm{cor}$	firmation)	$AEAD_{K_2''}(\mathrm{key}$	confirmation)
$AEAD_{K'''}(applica)$	ation data)	$AEAD_{K_2''}(\operatorname{app}$	lication data)

Client Knows pk_S

Server

TCP SYN

static ($\mathsf{KEM}_{\mathsf{s}}$): $\mathsf{pk}_S, \mathsf{sk}_S$

TCP SYN-ACK

 $(\mathsf{pk}_e, \mathsf{sk}_e) \leftarrow \mathsf{KEM}_e.\mathsf{Keygen}()$ $(\mathsf{ss}_S, \mathsf{ct}_S) \leftarrow \mathsf{KEM}_s.\mathsf{Encapsulate}(\mathsf{pk}_S)$ **ClientHello:** $\mathsf{pk}_e, \ r_c \leftarrow \$ \{0, 1\}^{256}, \ \mathsf{ext_pdk: ct}_S, \ \mathsf{supported algs.}$

 $ss_S \leftarrow KEM_s.Decapsulate(ct_S, sk_S)$

 $ES \leftarrow HKDF.Extract(\emptyset, ss_S)$

 $accept ETS \leftarrow HKDF.Expand(ES, "early data", CH)$ $dES \leftarrow HKDF.Expand(ES, "derived", \emptyset)$

 $(ss_e, ct_e) \leftarrow KEM_e.Encapsulate(pk_e)$

ServerHello: $ct_e, r_s \leftarrow \{0, 1\}^{256}$, selected algs.

 $ss_e \leftarrow KEM_e$. Decapsulate(ct_e, sk_e)

 $HS \leftarrow HKDF.Extract(dES, ss_e)$

accept CHTS \leftarrow HKDF.Expand(HS, "c hs traffic", CH..SH) stage 2

accept SHTS \leftarrow HKDF.Expand(HS, "s hs traffic", CH..SH) stage 3

 $dHS \leftarrow HKDF.Expand(HS, "derived", \emptyset)$

 $\{\texttt{EncryptedExtensions}\}_{stage_3}$

 $MS \leftarrow HKDF.Extract(dHS, 0)$

 $fk_c \leftarrow HKDF.Expand(MS, "c finished", \emptyset)$

 $fk_s \leftarrow HKDF.Expand(MS, "s finished", \emptyset)$

 $\{\texttt{ServerFinished}\}_{stage_3}: \texttt{SF} \leftarrow \texttt{HMAC}(\texttt{fk}_s, \texttt{CH}..\texttt{EE})$

abort if $SF \neq HMAC(fk_s, CH..EE)$

accept SATS←HKDF.Expand(MS, "s ap traffic", CH..SF) record layer, AEAD-encrypted with key derived from SATS

 ${ClientFinished}_{stage_2}$: CF \leftarrow HMAC(fk_c, CH..SF)

abort if CF ≠ HMAC(fk_c, CH..SF) accept CATS←HKDF.Expand(MS, "c ap traffic", CH..CF) record layer, AEAD-encrypted with key derived from CATS

KEMTLS-PDK

KEMTLS-PDK with proactive client authentication

Client

static ($\mathsf{KEM}_{\mathsf{c}}$): $\mathsf{pk}_C, \mathsf{sk}_C$ Knows pk_S TCP SYN static (KEM_s): $\mathsf{pk}_S, \mathsf{sk}_S$

Server

TCP SYN-ACK

 $(\mathsf{pk}_e, \mathsf{sk}_e) \leftarrow \mathsf{KEM}_e.\mathsf{Keygen}()$ $(\mathsf{ss}_S, \mathsf{ct}_S) \leftarrow \mathsf{KEM}_s.\mathsf{Encapsulate}(\mathsf{pk}_S)$ $\mathsf{ClientHello: } \mathsf{pk}_e, \ r_c \leftarrow \{0, 1\}^{256}, \ \mathrm{ext_pdk: } \mathsf{ct}_S, \ \mathrm{supported \ algs.}$

 $ss_S \leftarrow KEM_s.Decapsulate(ct_S, sk_S)$

 $\mathrm{ES} \leftarrow \mathsf{HKDF}.\mathsf{Extract}(\emptyset, \mathsf{ss}_S)$

 $\mathbf{accept} \ \mathrm{ETS} \leftarrow \mathsf{HKDF}.\mathsf{Expand}(\mathrm{ES}, \texttt{"early data"}, \mathtt{CH})$ stage 1

 ${ClientCertificate}_{stage_1}: cert[pk_C]$

 $dES \leftarrow HKDF.Expand(ES, "derived", \emptyset)$

 $(ss_e, ct_e) \leftarrow KEM_e.Encapsulate(pk_e)$

ServerHello: $ct_e, r_s \leftarrow \{0, 1\}^{256}$, selected algs.

 $ss_e \leftarrow KEM_e$. Decapsulate(ct_e, sk_e)

 $HS \leftarrow HKDF.Extract(dES, ss_e)$

 $\textbf{accept CHTS} \leftarrow \mathsf{HKDF}.\mathsf{Expand}(\mathsf{HS},\texttt{"c hs traffic"},\texttt{CH}..\texttt{SH}) \\ stage \ 2 \\$

accept SHTS \leftarrow HKDF.Expand(HS, "s hs traffic", CH..SH) stage 3 dHS \leftarrow HKDF.Expand(HS, "derived", \emptyset)

$$\label{eq:stage} \begin{split} & \{\texttt{EncryptedExtensions}\}_{stage_3} \\ & (\mathsf{ss}_C, \mathsf{ct}_C) \! \gets \! \mathsf{KEM}_c. \texttt{Encapsulate}(\mathsf{pk}_C) \end{split}$$

 $\{\texttt{ServerKemCiphertext}\}_{stage_3}: \mathsf{ct}_C$

 $ss_C \leftarrow KEM_c.Decapsulate(ct_C, sk_C)$

$$\begin{split} & \mathrm{MS} \leftarrow \mathsf{HKDF}.\mathsf{Extract}(\mathrm{dHS},\mathsf{ss}_{C}) \\ & \mathsf{fk}_{c} \leftarrow \mathsf{HKDF}.\mathsf{Expand}(\mathrm{MS},\texttt{"c finished"},\emptyset) \\ & \mathsf{fk}_{s} \leftarrow \mathsf{HKDF}.\mathsf{Expand}(\mathrm{MS},\texttt{"s finished"},\emptyset) \end{split}$$

 $\{\texttt{ServerFinished}\}_{stage_3}: \texttt{SF} \leftarrow \texttt{HMAC}(\mathsf{fk}_s, \texttt{CH}..\texttt{EE})$

abort if $SF \neq HMAC(fk_s, CH..EE)$

accept SATS←HKDF.Expand(MS,"s ap traffic",CH..SF) stage 4 ______record layer, AEAD-encrypted with key derived from SATS_____

 ${ClientFinished}_{stage_2}$: CF \leftarrow HMAC(fk_c, CH..SKC)

 $\begin{array}{c} \textbf{abort if CF} \neq \textsf{HMAC}(\textsf{fk}_c, \textsf{CH..SF}) \\ \textbf{accept CATS} \leftarrow \textsf{HKDF}.\textsf{Expand}(\textsf{MS}, \texttt{"c ap traffic"}, \textsf{CH}..CF) \\ \hline \\ \textbf{record layer, AEAD-encrypted with key derived from CATS} \end{array} stage 5$

Security subtleties: forward secrecy

Does compromise of a party's long-term key allow decryption of past sessions?

- Weak forward secrecy 1: adversary passive in the test stage
- Weak forward secrecy 2: adversary passive in the test stage or never corrupted peer's long-term key
- Forward secrecy: adversary passive in the test stage or didn't corrupt peer's long-term key before acceptance

Lessons learned from formal verification

- Higher assurance in protocol design
- Captures potential interactions between all 4 protocol variants
- Exhibits difficulty trade-off in formal verification: granularity of protocol specification

versus granularity of security properties

- Formal verification identified bugs in previous work:
 - Approach 1 identified minor bugs in original TLS 1.3 Tamarin model of [CHHSV]
 - Approach 2 identified minor bugs in security properties stated in original KEMTLS and KEMTLS-PDK papers
 - E.g. Wrong retroactive authentication stages or incorrect forward secrecy levels for some stages