

# Practical post-quantum key exchange

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**Douglas Stebila**  McMaster  
University

Funding acknowledgements:

# Key exchange on the Internet

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SSL – Secure Sockets Layer protocol

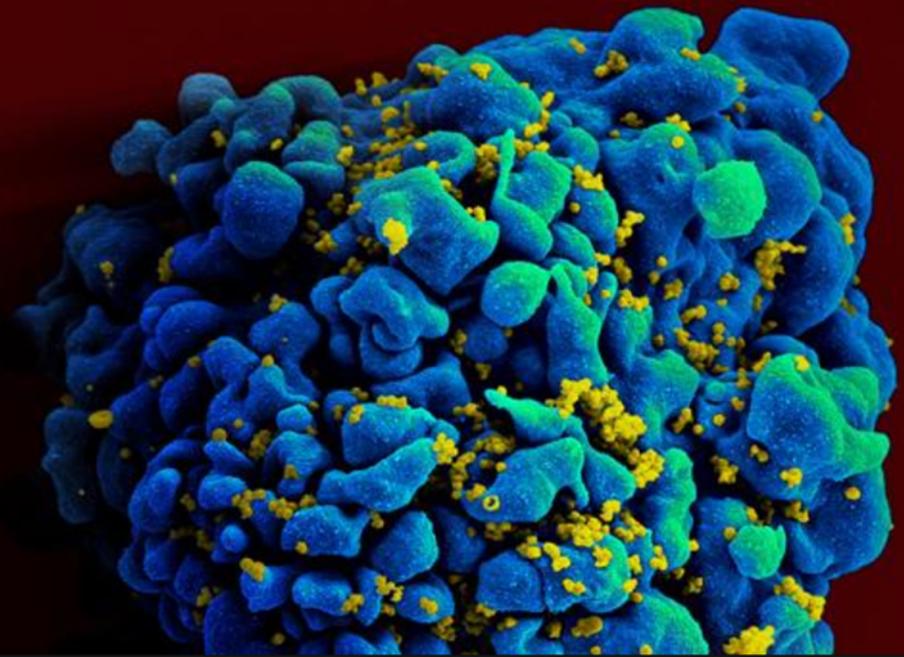
TLS – Transport Layer Security protocol

HTTPS – HTTP using SSL/TLS



UNIVERSITY OF  
CAMBRIDGE

- > For staff
- > For Cambridge students
- > For alumni
- > For businesses
- > Colleges and departments
- > Libraries and facilities
- > Museums and collections
- > Email and phone search
- > Give to Cambridge



"For a vaccine to work, its effects need to be long lasting."



### Secure Connection

The connection is secure.

[www.cam.ac.uk](https://www.cam.ac.uk/)

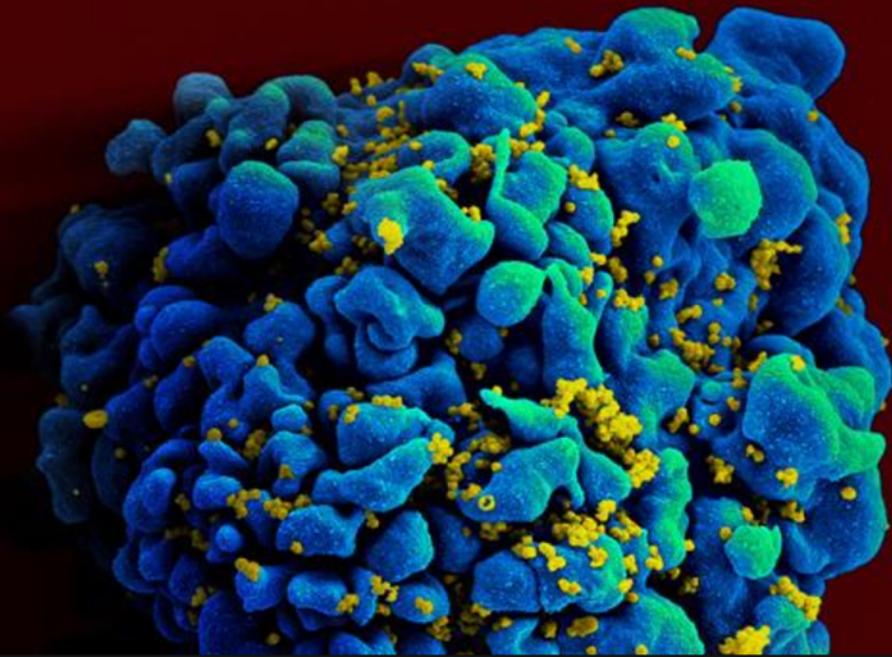
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First Visited: Today - Saturday, September 16, 2017  
Certificate: [www.cam.ac.uk](https://www.cam.ac.uk/) (Quovadis Limited)  
Connection: **TLS 1.2 AES\_128\_GCM ECDHE\_RSA (29)**

## Research at Cambridge

Search

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"For a vaccine to work, its effects need to be long lasting."

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Certificate: [www.cam.ac.uk](https://www.cam.ac.uk) (QuoVadis Limited)  
Connection: **TLS 1.2 AES\_128\_GCM ECDHE\_RSA (29)**

QuoVadis Root CA 2  
↳ QuoVadis Global SSL ICA G2  
↳ **www.cam.ac.uk**

 **www.cam.ac.uk**  
Issued by: QuoVadis Global SSL ICA G2  
Expires: Saturday, March 30, 2019 at 4:53:12 AM Eastern Daylight Time  
✔ This certificate is valid

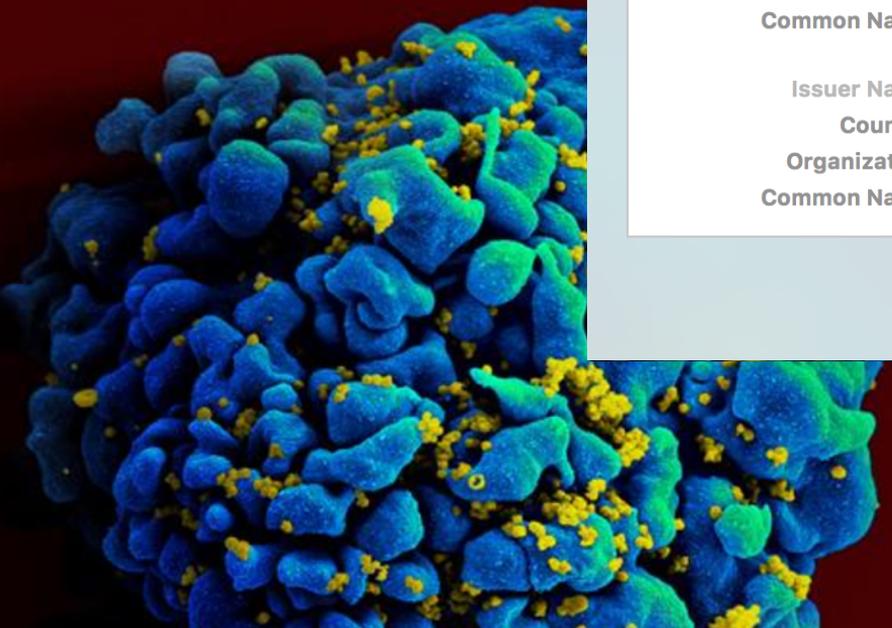
▼ **Details**

Subject Name	_____
Country	GB
State/Province	Cambridgeshire
Locality	CAMBRIDGE
Organization	University of Cambridge
Organizational Unit	UIS
Common Name	www.cam.ac.uk
Issuer Name	_____
Country	BM
Organization	QuoVadis Limited
Common Name	QuoVadis Global SSL ICA G2

[OK](#)

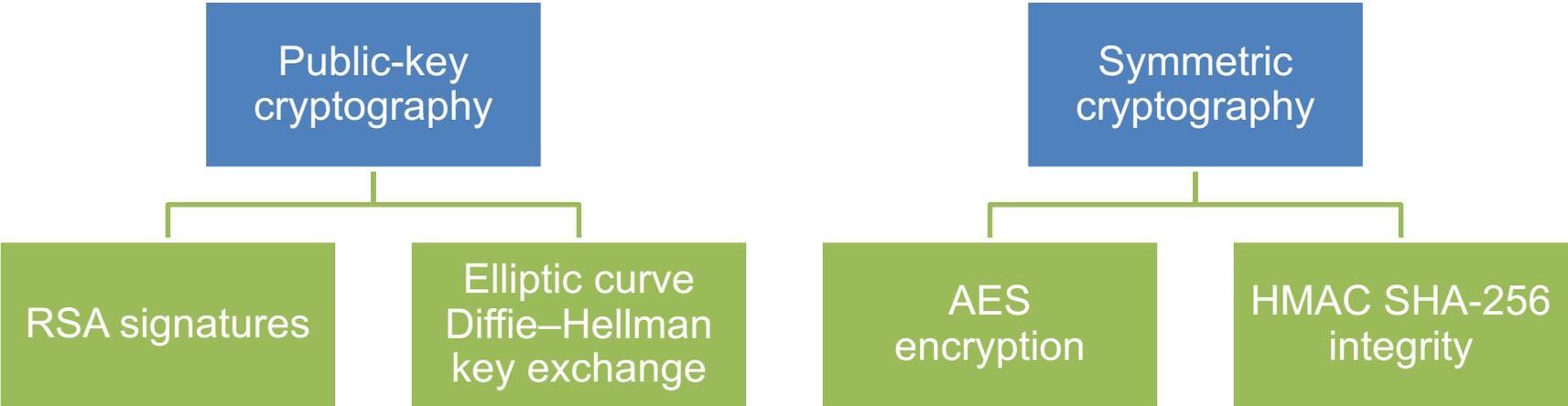
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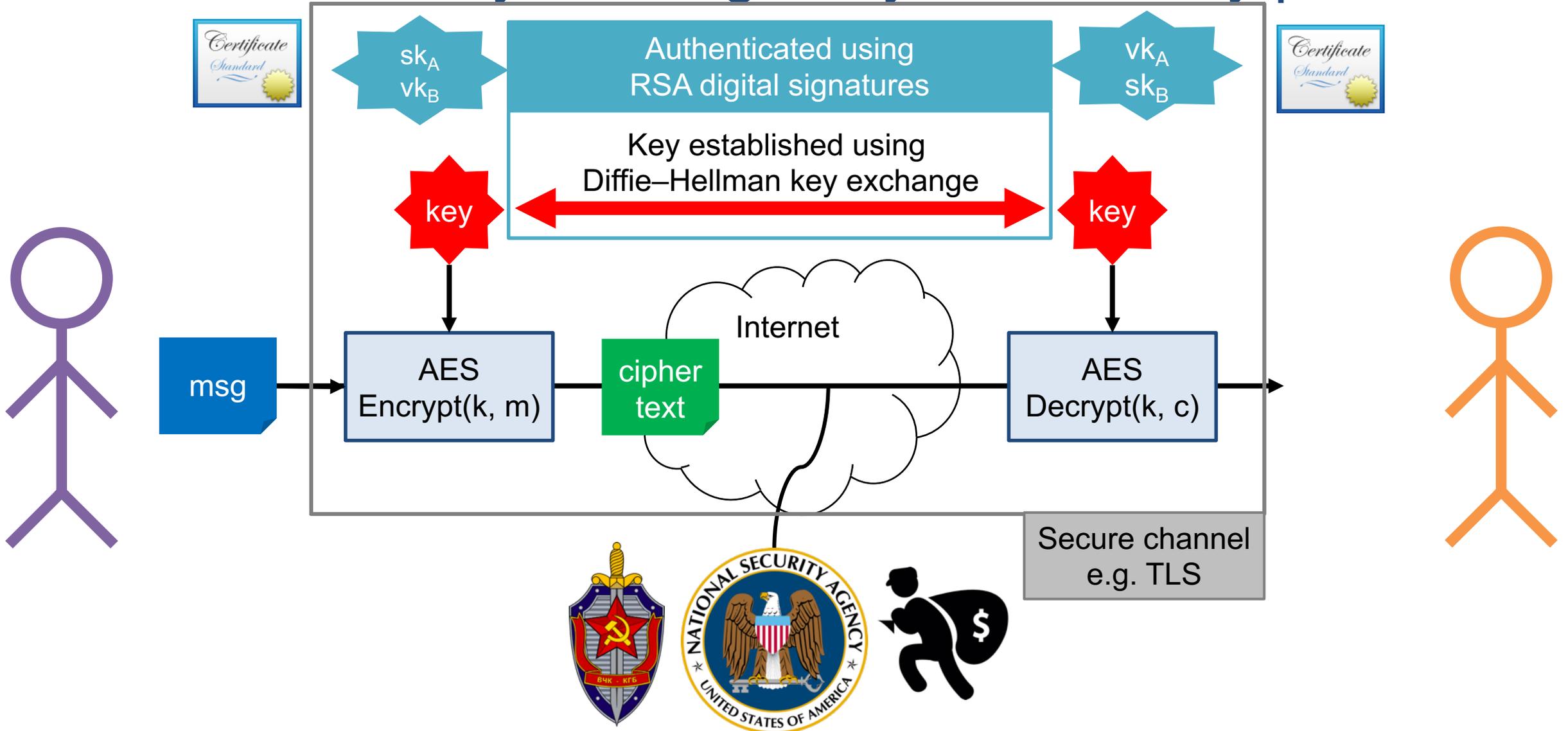


# Cryptographic building blocks

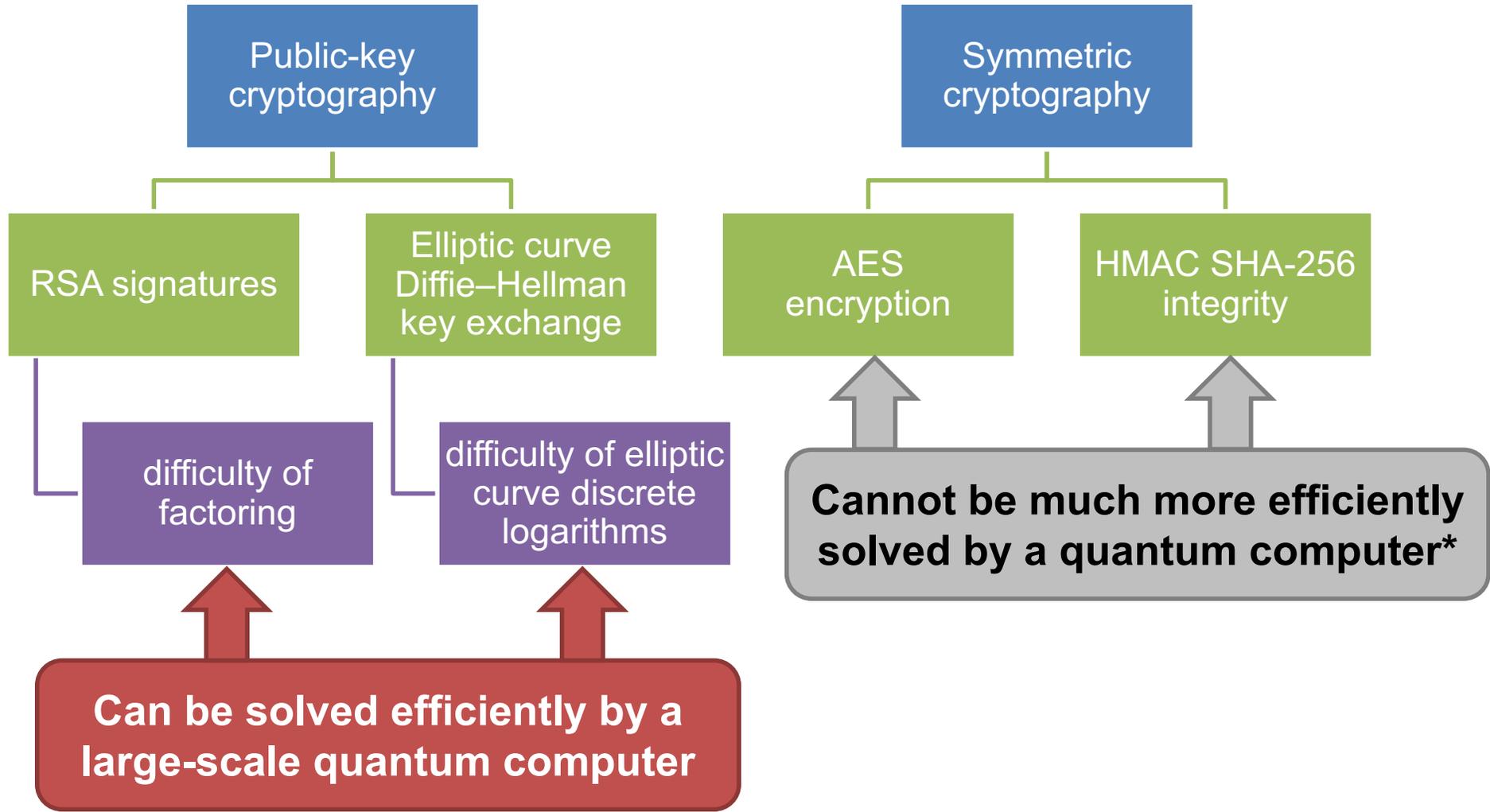
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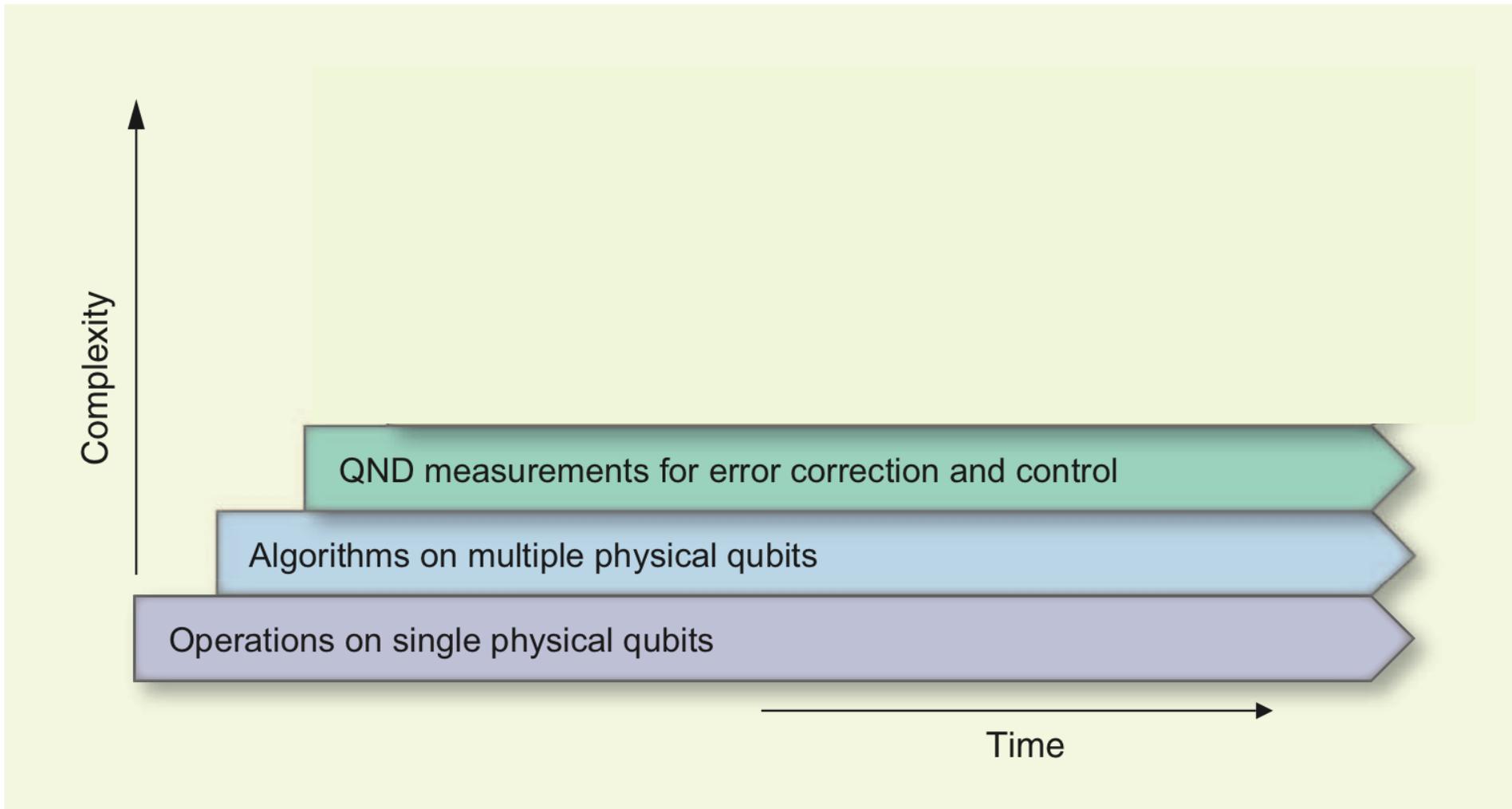
# Authenticated key exchange + symmetric encryption



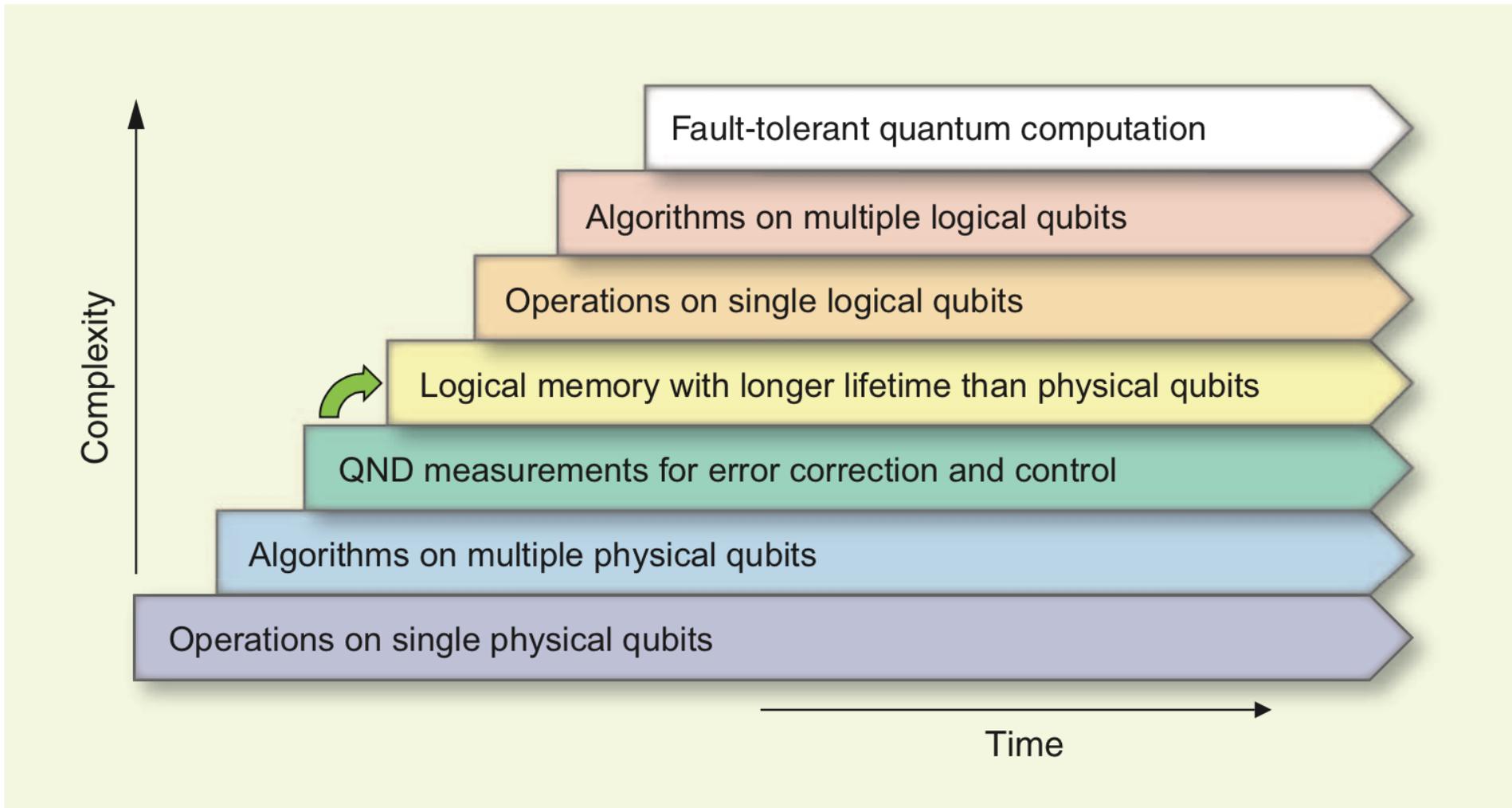
# Cryptographic building blocks



# When will a large-scale quantum computer be built?



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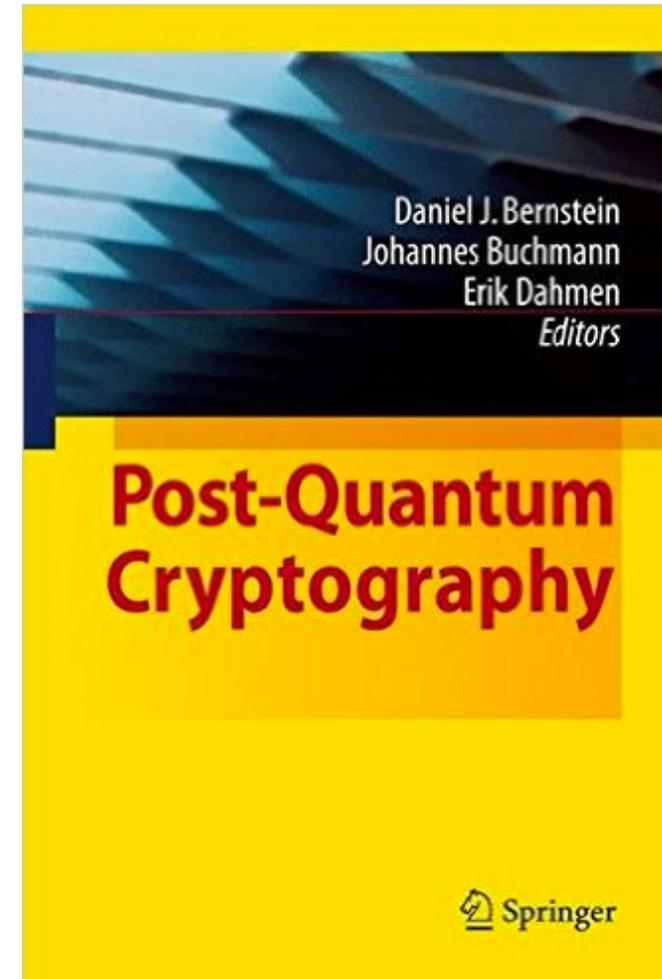
“I estimate a 1/7 chance of breaking RSA-2048 by 2026 and a 1/2 chance by 2031.”

— Michele Mosca, November 2015  
<https://eprint.iacr.org/2015/1075>

# Post-quantum cryptography in academia

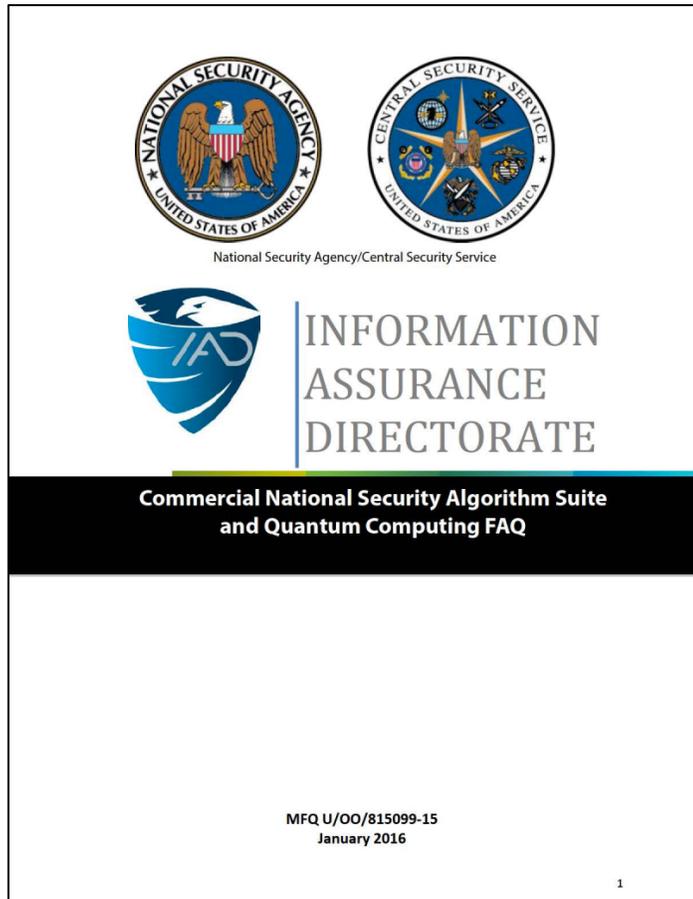
## Conference series

- PQCrypto 2006
- PQCrypto 2008
- PQCrypto 2010
- PQCrypto 2011
- PQCrypto 2013
- PQCrypto 2014
- PQCrypto 2016
- PQCrypto 2017
- PQCrypto 2018



2009

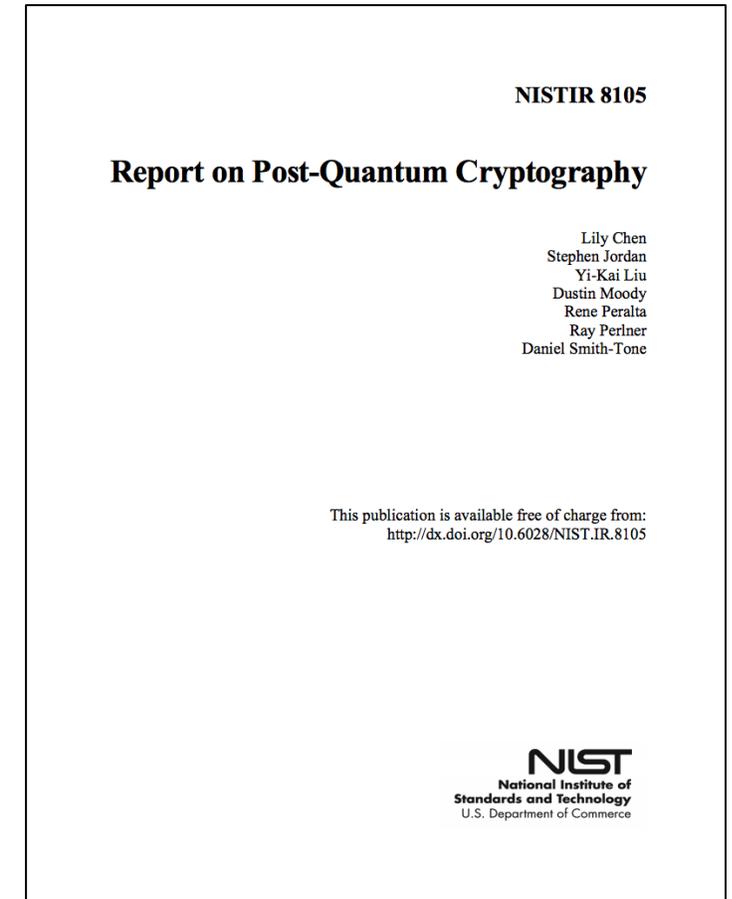
# Post-quantum cryptography in government



Aug. 2015 (Jan. 2016)

“IAD will initiate a transition to quantum resistant algorithms in the not too distant future.”

– NSA Information Assurance Directorate, Aug. 2015



Apr. 2016

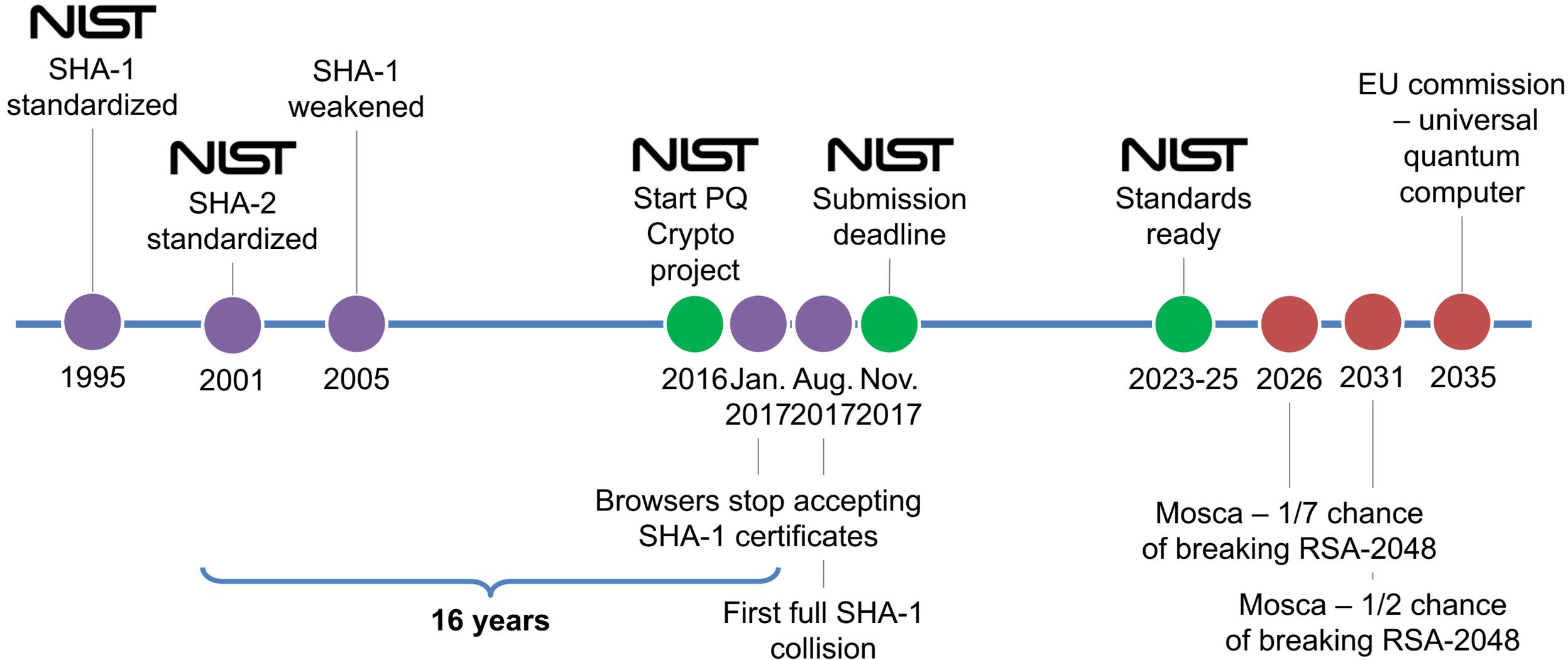
# NIST Post-quantum Crypto Project timeline

<http://www.nist.gov/pqcrypto>

December 2016	Formal call for proposals
<b>November 2017</b>	<b>Deadline for submissions</b>
3-5 years	Analysis phase
2 years later (2023-2025)	Draft standards ready

**"Our intention is to select a couple of options** for more immediate standardization, as well as to eliminate some submissions as unsuitable. ... The goal of the process is **not primarily to pick a winner**, but to document the strengths and weaknesses of the different options, and to analyze the possible tradeoffs among them."

# Timeline



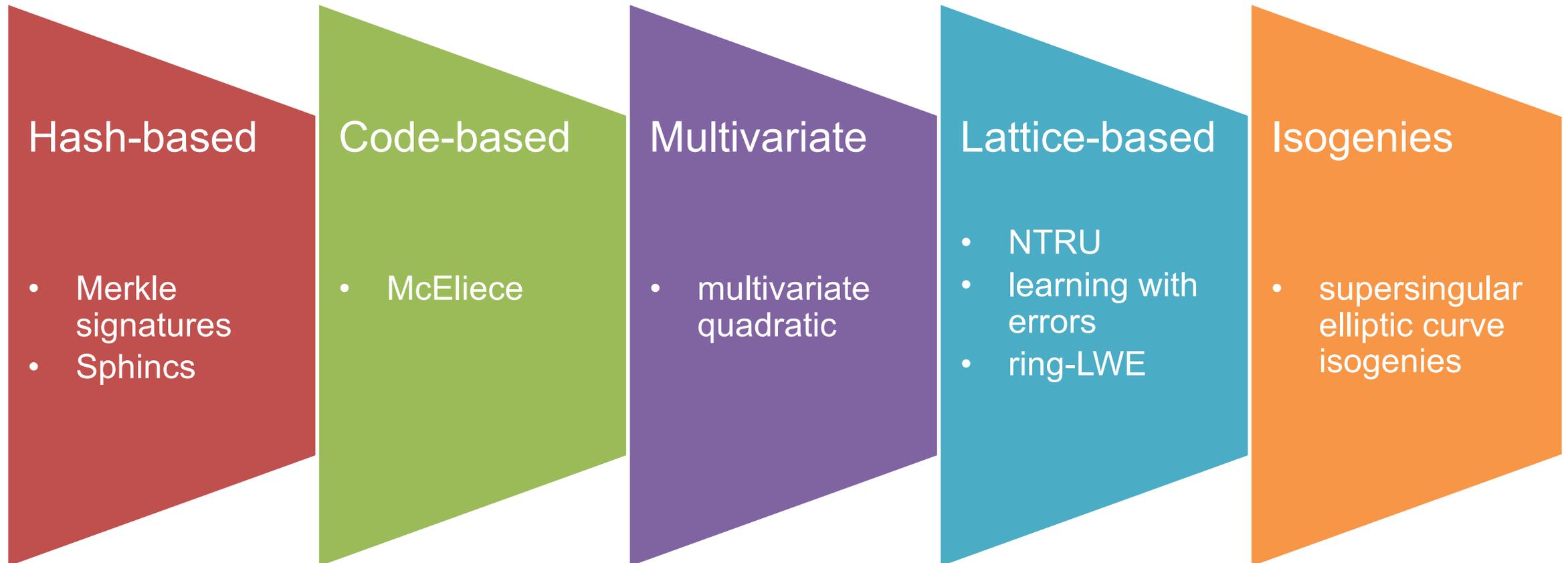
# Post-quantum crypto

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# Post-quantum crypto

a.k.a. quantum-resistant algorithms

Classical crypto with no known exponential quantum speedup



# Quantum-safe crypto

## Classical post-quantum crypto

### Hash-based

- Merkle signatures
- Sphincs

### Code-based

- McEliece
- Niederreiter

### Multivariate

- multivariate quadratic

### Lattice-based

- NTRU
- learning with errors
- ring-LWE

### Isogenies

- supersingular elliptic curve isogenies

## Quantum crypto

**Quantum key distribution**

**Quantum random number generators**

**Quantum channels**

**Quantum blind computation**

# Post-quantum crypto research agenda

- Design better post-quantum schemes
- Characterize classical and quantum attacks
- Pick parameter sizes
- Develop fast, secure implementations
- Integrate them into the existing infrastructure

# This talk

- The **Learning with errors** problem
  - "Lattice-based"
  - Public key encryption
  - Key exchange
- Transitioning to post-quantum crypto
- Open Quantum Safe project
  - A library for comparing post-quantum primitives
  - Framework for easing integration into applications like OpenSSL

# Learning with errors problems

---

# Solving systems of linear equations

$$\begin{matrix}
 \mathbb{Z}_{13}^{7 \times 4} \\
 \begin{array}{|c|c|c|c|}
 \hline
 4 & 1 & 11 & 10 \\
 \hline
 5 & 5 & 9 & 5 \\
 \hline
 3 & 9 & 0 & 10 \\
 \hline
 1 & 3 & 3 & 2 \\
 \hline
 12 & 7 & 3 & 4 \\
 \hline
 6 & 5 & 11 & 4 \\
 \hline
 3 & 3 & 5 & 0 \\
 \hline
 \end{array}
 \end{matrix}
 \times
 \begin{matrix}
 \text{secret} \\
 \mathbb{Z}_{13}^{4 \times 1} \\
 \begin{array}{|c|}
 \hline
 \phantom{0} \\
 \hline
 \phantom{0} \\
 \hline
 \phantom{0} \\
 \hline
 \phantom{0} \\
 \hline
 \end{array}
 \end{matrix}
 =
 \begin{matrix}
 \mathbb{Z}_{13}^{7 \times 1} \\
 \begin{array}{|c|}
 \hline
 4 \\
 \hline
 8 \\
 \hline
 1 \\
 \hline
 10 \\
 \hline
 4 \\
 \hline
 12 \\
 \hline
 9 \\
 \hline
 \end{array}
 \end{matrix}$$

Linear system problem: given **blue**, find **red**

# Solving systems of linear equations

$$\begin{matrix}
 \mathbb{Z}_{13}^{7 \times 4} & & \text{secret} \\
 & & \mathbb{Z}_{13}^{4 \times 1} \\
 \begin{bmatrix} 4 & 1 & 11 & 10 \\ 5 & 5 & 9 & 5 \\ 3 & 9 & 0 & 10 \\ 1 & 3 & 3 & 2 \\ 12 & 7 & 3 & 4 \\ 6 & 5 & 11 & 4 \\ 3 & 3 & 5 & 0 \end{bmatrix} & \times & \begin{bmatrix} 6 \\ 9 \\ 11 \\ 11 \end{bmatrix} & = & \begin{bmatrix} 4 \\ 8 \\ 1 \\ 10 \\ 4 \\ 12 \\ 9 \end{bmatrix} \\
 & & & & \mathbb{Z}_{13}^{7 \times 1}
 \end{matrix}$$

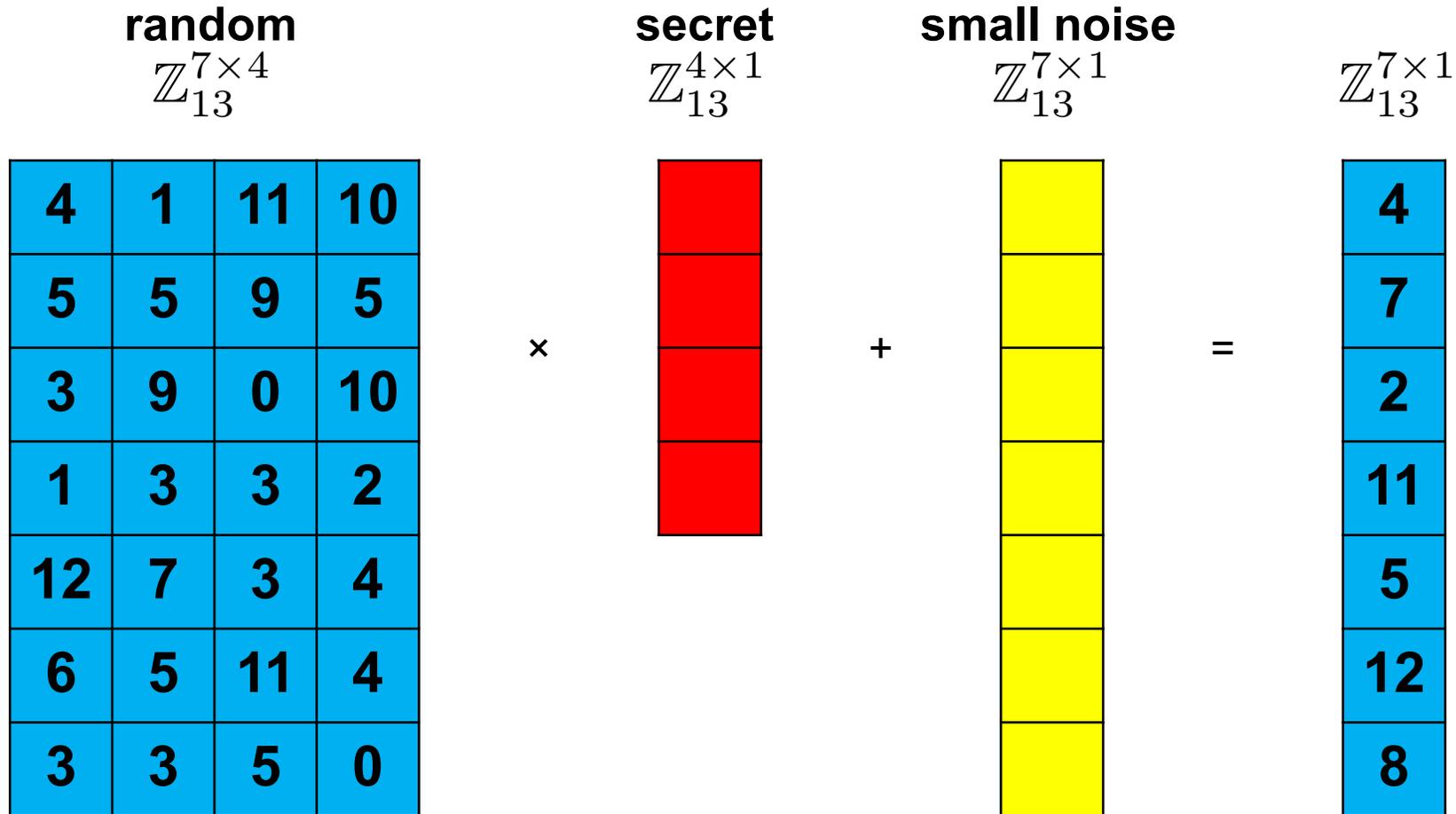
Easily solved using  
Gaussian elimination  
(Linear Algebra 101)

Linear system problem: given **blue**, find **red**

# Learning with errors problem

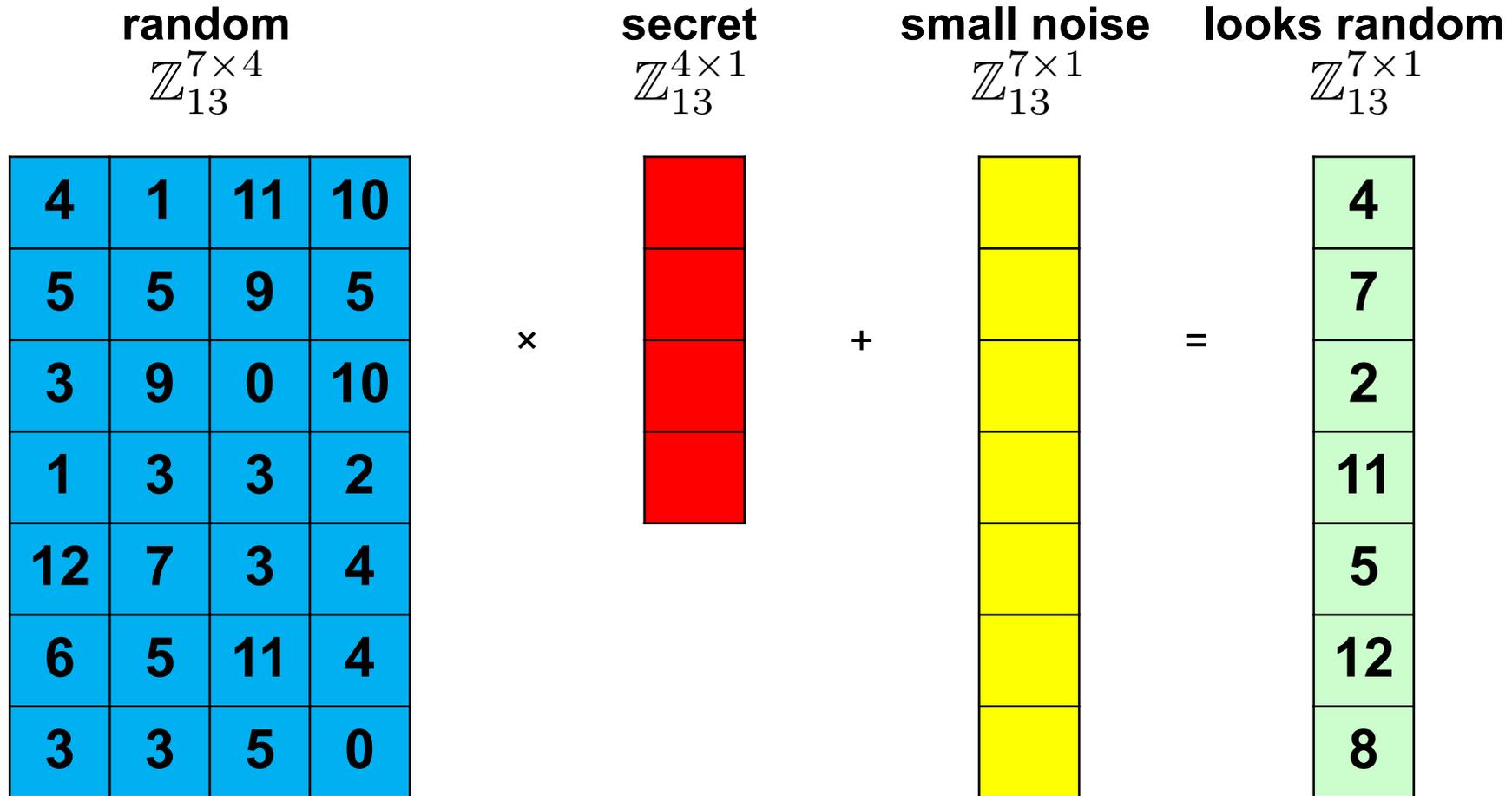
<b>random</b> $\mathbb{Z}_{13}^{7 \times 4}$	<b>secret</b> $\mathbb{Z}_{13}^{4 \times 1}$	<b>small noise</b> $\mathbb{Z}_{13}^{7 \times 1}$	$\mathbb{Z}_{13}^{7 \times 1}$																																																	
<table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>4</td><td>1</td><td>11</td><td>10</td></tr> <tr><td>5</td><td>5</td><td>9</td><td>5</td></tr> <tr><td>3</td><td>9</td><td>0</td><td>10</td></tr> <tr><td>1</td><td>3</td><td>3</td><td>2</td></tr> <tr><td>12</td><td>7</td><td>3</td><td>4</td></tr> <tr><td>6</td><td>5</td><td>11</td><td>4</td></tr> <tr><td>3</td><td>3</td><td>5</td><td>0</td></tr> </table>	4	1	11	10	5	5	9	5	3	9	0	10	1	3	3	2	12	7	3	4	6	5	11	4	3	3	5	0	×	<table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>6</td></tr> <tr><td>9</td></tr> <tr><td>11</td></tr> <tr><td>11</td></tr> </table>	6	9	11	11	+	<table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>0</td></tr> <tr><td>-1</td></tr> <tr><td>1</td></tr> <tr><td>1</td></tr> <tr><td>1</td></tr> <tr><td>0</td></tr> <tr><td>-1</td></tr> </table>	0	-1	1	1	1	0	-1	=	<table border="1" style="border-collapse: collapse; text-align: center;"> <tr><td>4</td></tr> <tr><td>7</td></tr> <tr><td>2</td></tr> <tr><td>11</td></tr> <tr><td>5</td></tr> <tr><td>12</td></tr> <tr><td>8</td></tr> </table>	4	7	2	11	5	12	8
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# Learning with errors problem



**Search LWE problem: given blue, find red**

# Decision learning with errors problem



**Decision LWE problem:** given **blue**, distinguish **green** from random

# Search LWE problem

Let  $n$ ,  $m$ , and  $q$  be positive integers.

Let  $\chi_s$  and  $\chi_e$  be distributions over  $\mathbb{Z}$ .

Sample  $\mathbf{s} \stackrel{\$}{\leftarrow} \chi_s^n$ .

For  $i = 1, \dots, m$ :

- Sample  $\mathbf{a}_i \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q^n)$ ,  $e_i \stackrel{\$}{\leftarrow} \chi_e$ .
- Set  $b_i \leftarrow \langle \mathbf{a}_i, \mathbf{s} \rangle + e_i \pmod q$ .

**The search LWE problem is:**

- given  $(\mathbf{a}_i, b_i)_{i=1}^m$ ,
- find  $\mathbf{s}$

# Decision LWE problem

Let  $n$  and  $q$  be positive integers.

Let  $\chi_s$  and  $\chi_e$  be distributions over  $\mathbb{Z}$ .

Sample  $\mathbf{s} \stackrel{\$}{\leftarrow} \chi_s^n$ .

Define the following two oracles:

- $O_{\chi_e, \mathbf{s}}$ :  $\mathbf{a} \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q^n)$ ,  $e \stackrel{\$}{\leftarrow} \chi_e$ ;  
return  $(\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e \bmod q)$ .
- $U$ :  $\mathbf{a} \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q^n)$ ,  $u \stackrel{\$}{\leftarrow} \mathcal{U}(\mathbb{Z}_q)$ ;  
return  $(\mathbf{a}, u)$ .

The **decision LWE problem** is:  
distinguish  $O_{\chi, \mathbf{s}}$  from  $U$ .

# Choice of error distribution

- Usually a discrete Gaussian distribution of width  $s = \alpha q$  for error rate  $\alpha < 1$
- Define the Gaussian function

$$\rho_s(\mathbf{x}) = \exp(-\pi \|\mathbf{x}\|^2 / s^2)$$

- The continuous Gaussian distribution has probability density function

$$f(\mathbf{x}) = \rho_s(\mathbf{x}) / \int_{\mathbb{R}^n} \rho_s(\mathbf{z}) d\mathbf{z} = \rho_s(\mathbf{x}) / s^n$$

# Short secrets

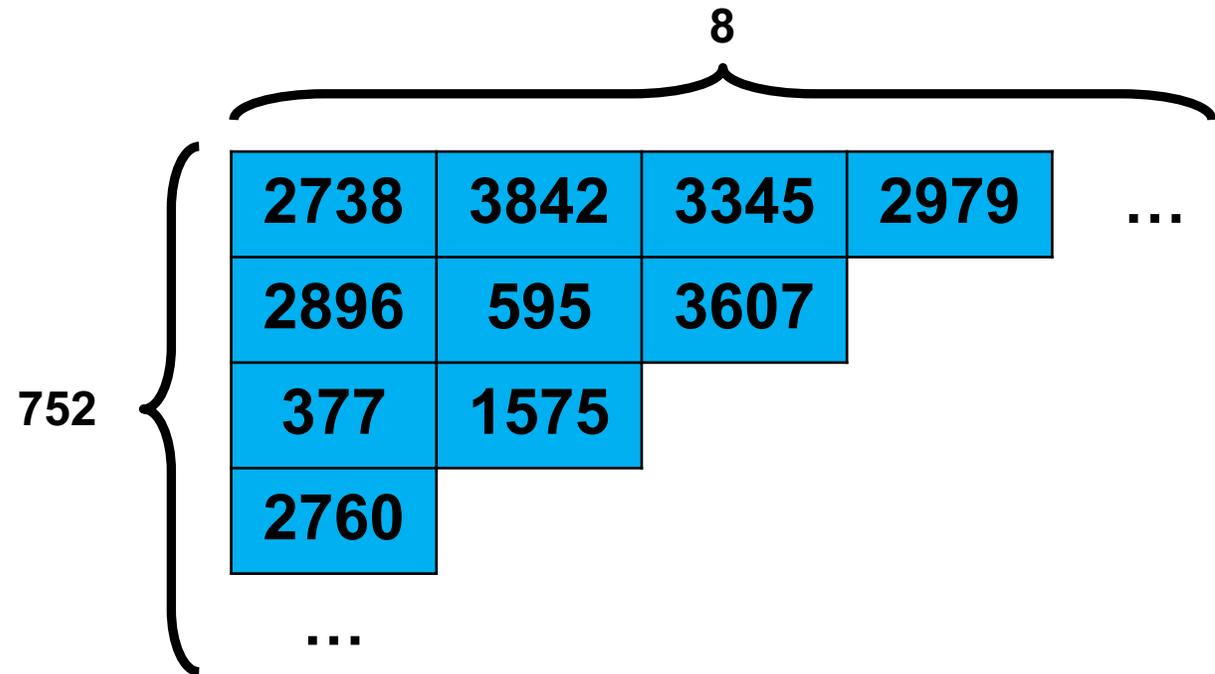
- The secret distribution  $\chi_s$  was originally taken to be the uniform distribution
- **Short secrets:** use  $\chi_s = \chi_e$
- There's a tight reduction showing that LWE with short secrets is hard if LWE with uniform secrets is hard

# Toy example versus real-world example

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
5	5	9	5
3	9	0	10
1	3	3	2
12	7	3	4
6	5	11	4
3	3	5	0

$$\mathbb{Z}_{2^{15}}^{752 \times 8}$$



$$752 \times 8 \times 15 \text{ bits} = \mathbf{11 \text{ KiB}}$$

# Ring learning with errors problem

random

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
10	4	1	11
11	10	4	1
1	11	10	4
4	1	11	10
10	4	1	11
11	10	4	1

Each row is the cyclic shift of the row above

# Ring learning with errors problem

random

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
3	4	1	11
2	3	4	1
12	2	3	4
9	12	2	3
10	9	12	2
11	10	9	12

Each row is the cyclic shift of the row above

...

with a special wrapping rule:  
 $x$  wraps to  $-x \pmod{13}$ .

# Ring learning with errors problem

random

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
---	---	----	----

Each row is the cyclic shift of the row above

...

with a special wrapping rule:  
 $x$  wraps to  $-x \pmod{13}$ .

So I only need to tell you the first row.

# Ring learning with errors problem

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

$$4 + 1x + 11x^2 + 10x^3$$

random

×

$$6 + 9x + 11x^2 + 11x^3$$

secret

+

$$0 - 1x + 1x^2 + 1x^3$$

small noise

=

$$10 + 5x + 10x^2 + 7x^3$$

# Ring learning with errors problem

$$\mathbb{Z}_{13}[x]/\langle x^4 + 1 \rangle$$

**4 + 1x + 11x<sup>2</sup> + 10x<sup>3</sup>**

random

×

**[Redacted]**

secret

+

**[Redacted]**

small noise

=

**10 + 5x + 10x<sup>2</sup> + 7x<sup>3</sup>**

**Search ring-LWE problem: given blue, find red**

# Problems

Search  
LWE problem

Decision  
LWE problem

with or without  
short secrets

Search  
ring-LWE problem

Decision  
ring-LWE problem

# Search-decision equivalence

- **Easy fact:** If the search LWE problem is easy, then the decision LWE problem is easy.
- **Fact:** If the decision LWE problem is easy, then the search LWE problem is easy.
  - Requires  $nq$  calls to decision oracle
  - Intuition: test the each value for the first component of the secret, then move on to the next one, and so on.

# NTRU problem

For an invertible  $s \in R_q^*$  and a distribution  $\chi$  on  $R$ , define  $N_{s,\chi}$  to be the distribution that outputs  $e/s \in R_q$  where  $e \stackrel{\$}{\leftarrow} \chi$ .

The **NTRU learning problem** is: given independent samples  $a_i \in R_q$  where every sample is distributed according to either: (1)  $N_{s,\chi}$  for some randomly chosen  $s \in R_q$  (fixed for all samples), or (2) the uniform distribution, distinguish which is the case.

# "Lattice-based"

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# Hardness of decision LWE – "lattice-based"

worst-case gap shortest  
vector problem (GapSVP)

poly-time [Regev05, BLPRS13]

decision LWE



# Lattices

Let  $\mathbf{B} = \{\mathbf{b}_1, \mathbf{b}_n\} \subseteq \mathbb{Z}_q^{n \times n}$  be a set of linearly independent basis vectors for  $\mathbb{Z}_q^n$ . Define the corresponding **lattice**

$$\mathcal{L} = \mathcal{L}(\mathbf{B}) = \left\{ \sum_{i=1}^n z_i \mathbf{b}_i : z_i \in \mathbb{Z} \right\} .$$

(In other words, a lattice is a set of *integer* linear combinations.)

Define the **minimum distance** of a lattice as

$$\lambda_1(\mathcal{L}) = \min_{\mathbf{v} \in \mathcal{L} \setminus \{\mathbf{0}\}} \|\mathbf{v}\| .$$

# Shortest vector problem

The **shortest vector problem** (SVP) is: given a basis  $\mathbf{B}$  for some lattice  $\mathcal{L} = \mathcal{L}(\mathbf{B})$ , find a shortest non-zero vector, i.e., find  $\mathbf{v} \in \mathcal{L}$  such that  $\|\mathbf{v}\| = \lambda_1(\mathcal{L})$ .

The **decision approximate shortest vector problem** ( $\text{GapSVP}_\gamma$ ) is: given a basis  $\mathbf{B}$  for some lattice  $\mathcal{L} = \mathcal{L}(\mathbf{B})$  where either  $\lambda_1(\mathcal{L}) \leq 1$  or  $\lambda_1(\mathcal{L}) > \gamma$ , determine which is the case.

# Regev's iterative reduction

**Theorem.** [Reg05] For any modulus  $q \leq 2^{\text{poly}(n)}$  and any discretized Gaussian error distribution  $\chi$  of parameter  $\alpha q \geq 2\sqrt{n}$  where  $0 < \alpha < 1$ , solving the decision LWE problem for  $(n, q, \mathcal{U}, \chi)$  with at most  $m = \text{poly}(n)$  samples is at least as hard as quantumly solving  $\text{GapSVP}_\gamma$  and  $\text{SIVP}_\gamma$  on arbitrary  $n$ -dimensional lattices for some  $\gamma = \tilde{O}(n/\alpha)$ .

The polynomial-time reduction is extremely non-tight: approximately  $O(n^{13})$ .

# Solving the (approximate) shortest vector problem

The complexity of  $\text{GapSVP}_\gamma$  depends heavily on how  $\gamma$  and  $n$  relate, and get harder for smaller  $\gamma$ .

Algorithm	Time	Approx. factor $\gamma$
LLL algorithm	$\text{poly}(n)$	$2^{\Omega(n \log \log n / \log n)}$
various	$2^{\Omega(n \log n)}$	$\text{poly}(n)$
various	$2^{\Omega(n)}$ time and space	$\text{poly}(n)$
Sch87	$2^{\tilde{\Omega}(n/k)}$	$2^k$
	$\text{NP} \cap \text{co-NP}$	$\geq \sqrt{n}$
	NP-hard	$n^{o(1)}$

In cryptography, we tend to use  $\gamma \approx n$ .

# Picking parameters

- Estimate parameters based on runtime of lattice reduction algorithms.
- Based on reductions:
  - Calculate required runtime for GapSVP or SVP based on tightness gaps and constraints in each reduction
  - Pick parameters based on best known GapSVP or SVP solvers or known lower bounds
- Based on cryptanalysis:
  - Ignore tightness in reductions.
  - Pick parameters based on best known LWE solvers relying on lattice solvers.

# Ring-LWE

$$\mathbb{Z}_{13}^{7 \times 4}$$

4	1	11	10
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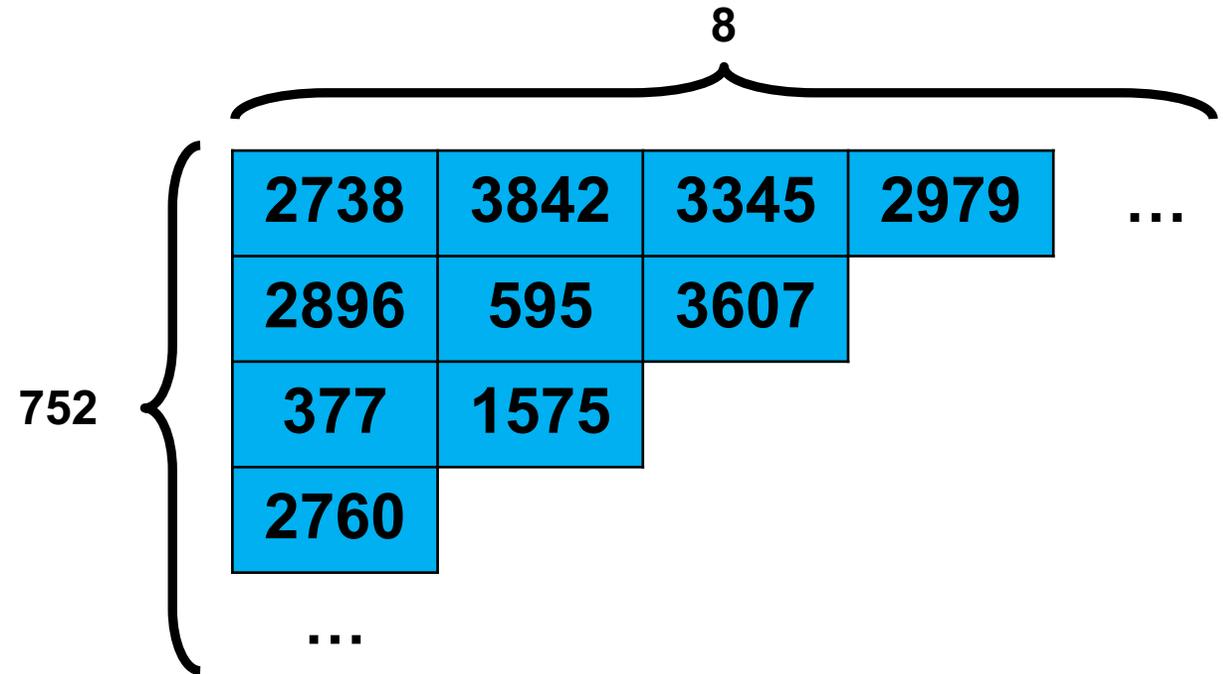
Cyclic structure

⇒ Save communication,  
more efficient computation

4 KiB representation

# LWE

$$\mathbb{Z}_{2^{15}}^{752 \times 8}$$



$$752 \times 8 \times 15 \text{ bits} = \mathbf{11 \text{ KiB}}$$

# Why consider (slower, bigger) LWE?

## Generic vs. ideal lattices

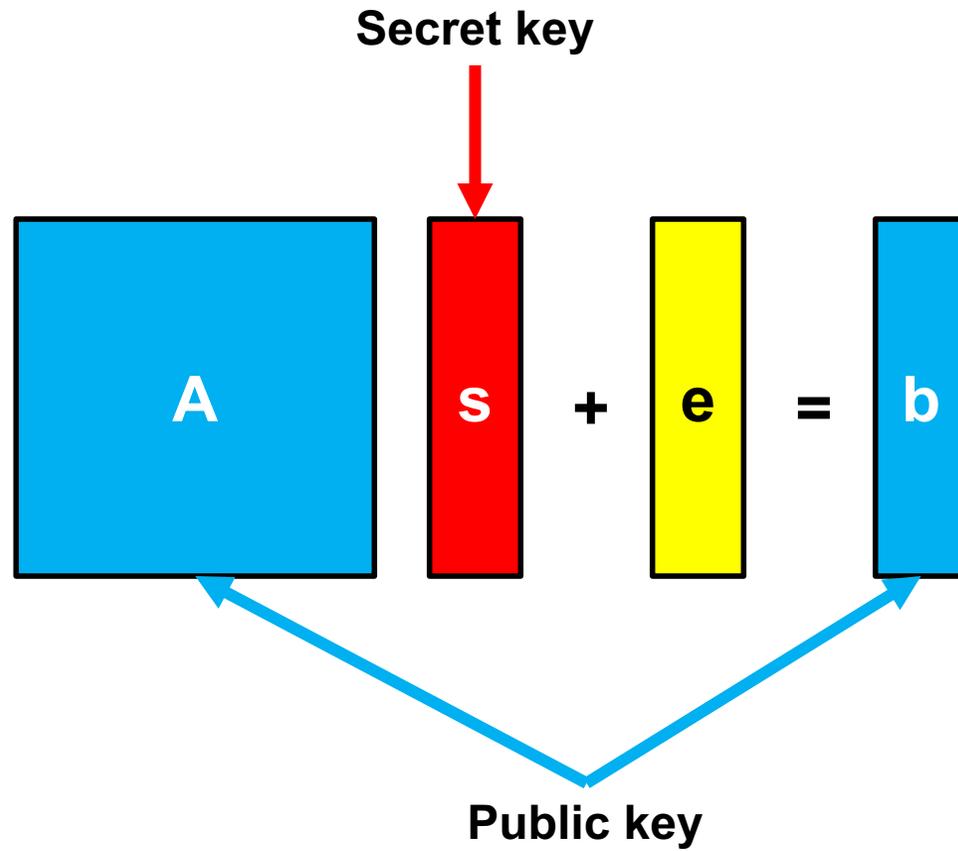
- Ring-LWE matrices have additional structure
  - Relies on hardness of a problem in **ideal** lattices
- LWE matrices have no additional structure
  - Relies on hardness of a problem in **generic** lattices
- NTRU also relies on a problem in a type of ideal lattices
- Currently, best algorithms for ideal lattice problems are essentially the same as for generic lattices
  - Small constant factor improvement in some cases
  - Recent quantum polynomial time algorithm for Ideal-SVP (<http://eprint.iacr.org/2016/885>) but not immediately applicable to ring-LWE

# Public key encryption from LWE

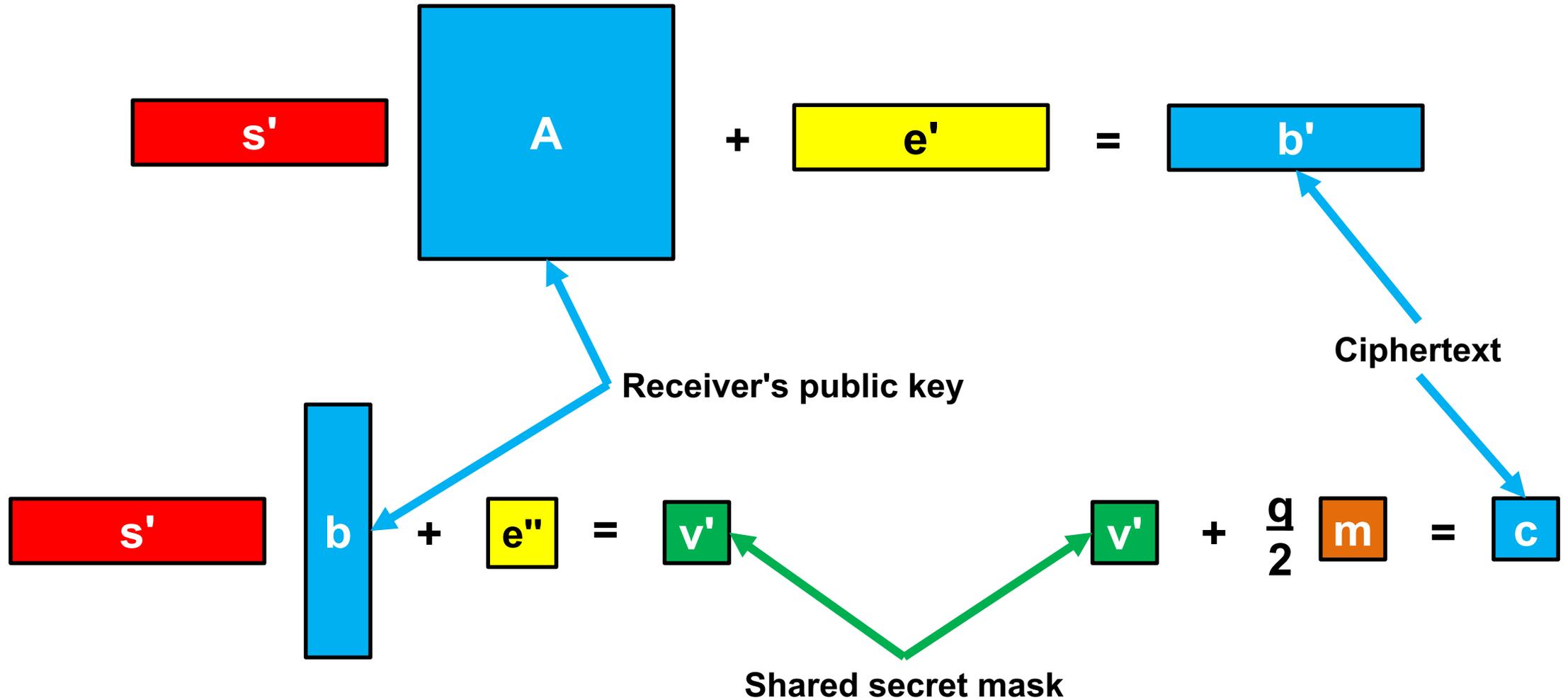
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Lindner–Peikert, CT-RSA 2011

# Key generation

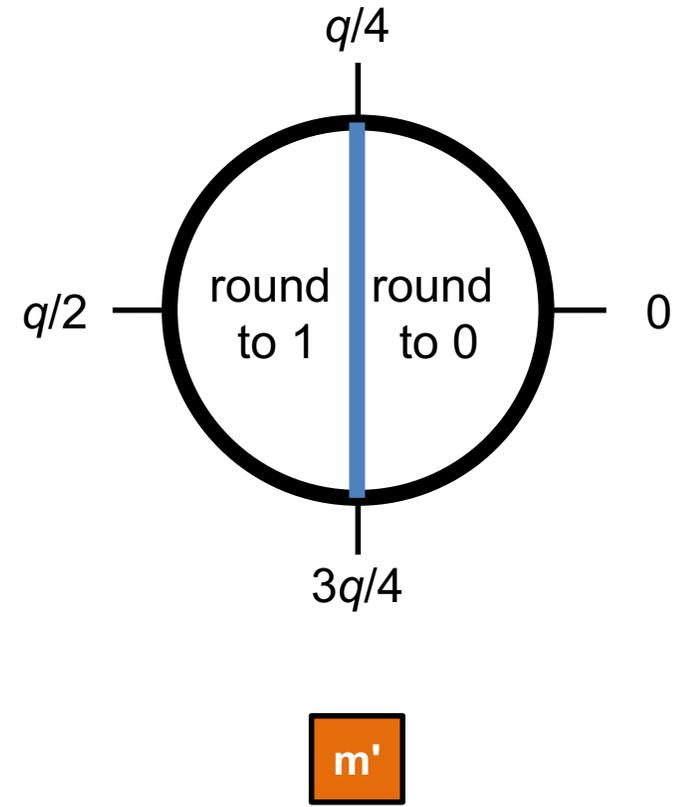
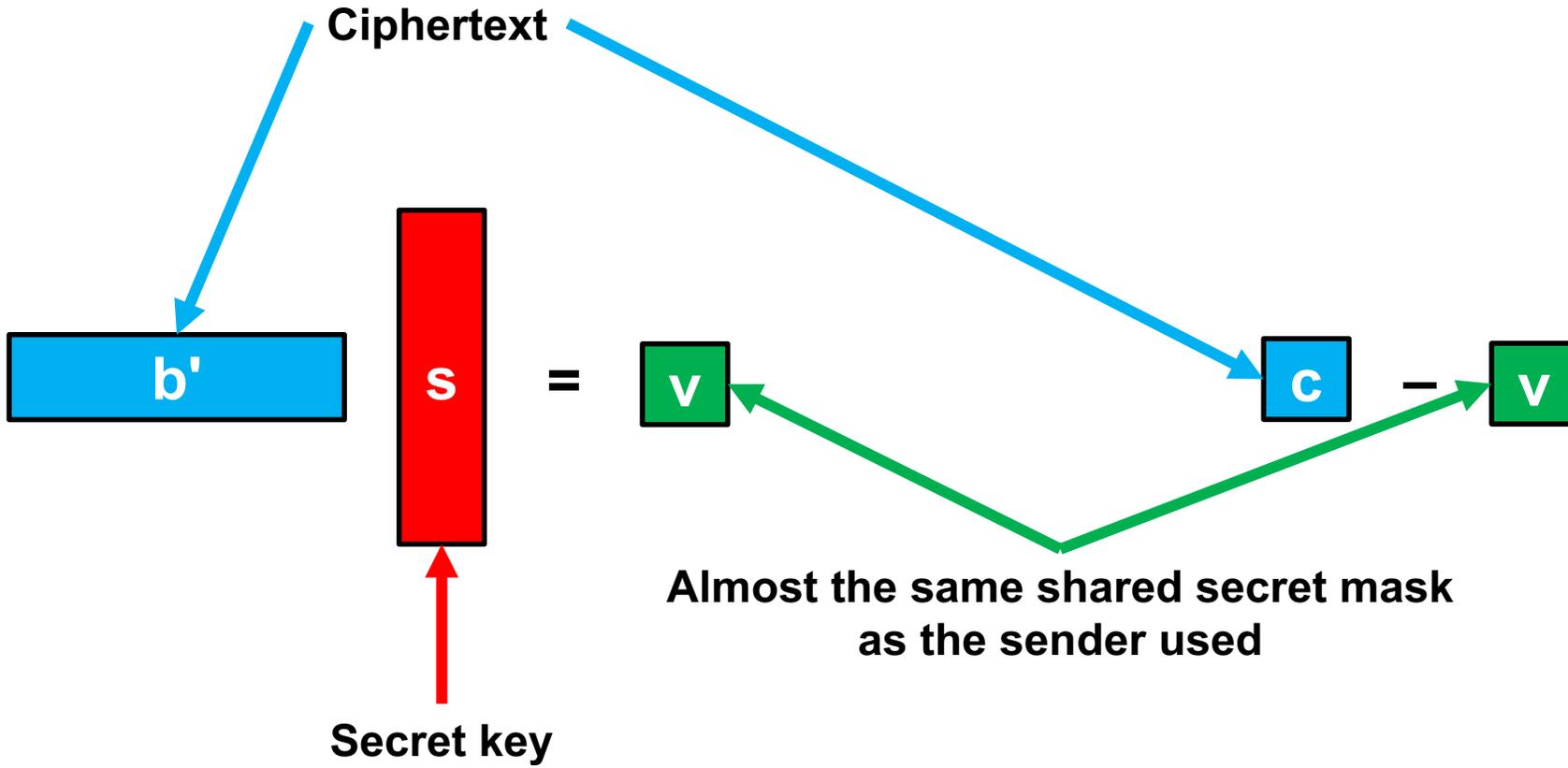


# Encryption



# Decryption

$$\boxed{v'} + \frac{q}{2} \boxed{m} = \boxed{c}$$



# Approximately equal shared secret

The sender uses

$$s' (A s + e) + e''$$

$$= s' A s + (s' e + e'')$$

$$\approx s' A s$$

The receiver uses

$$(s' A + e') s$$

$$= s' A s + (e' s)$$

$$\approx s' A s$$

# IND-CPA security of Lindner–Peikert

Indistinguishable against chosen plaintext attacks

**Theorem.** If the decision LWE problem is hard, then Lindner–Peikert is IND-CPA-secure. Let  $n, q, \chi$  be LWE parameters. Let  $\mathcal{A}$  be an algorithm. Then there exist algorithms  $\mathcal{B}_1, \mathcal{B}_2$  such that

$$\text{Adv}_{\text{LP}[n,q,\chi]}^{\text{ind-cpa}}(\mathcal{A}) \leq \text{Adv}_{n,q,\chi}^{\text{dlwe}}(\mathcal{A} \circ \mathcal{B}_1) + \text{Adv}_{n,q,\chi}^{\text{dlwe}}(\mathcal{A} \circ \mathcal{B}_2)$$

# Public key validation

- **No public key validation possible** in IND-CPA KEMs/PKEs from **LWE/ring-LWE**
- **Key reuse in LWE/ring-LWE** leads to real attacks following from search-decision equivalence
  - Comment in [Peikert, PQCrypto 2014]
  - Attack described in [Fluhrer, Eprint 2016]
- Need to ensure usage is okay with just IND-CPA
- Or construct IND-CCA KEM/PKE using Fujisaki–Okamoto transform or quantum-resistant variant [Targhi–Unruh, TCC 2016] [Hofheinz et al., Eprint 2017]

# Direct key agreement

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# LWE and ring-LWE public key encryption and key exchange

## **Regev**

STOC 2005

- Public key encryption from LWE

## **Lyubashevsky, Peikert, Regev**

Eurocrypt 2010

- Public key encryption from ring-LWE

## **Lindner, Peikert**

ePrint 2010, CT-RSA 2011

- Public key encryption from LWE and ring-LWE
- Approximate key exchange from LWE

## **Ding, Xie, Lin**

ePrint 2012

- Key exchange from LWE and ring-LWE with single-bit reconciliation

## **Peikert**

PQCrypto 2014

- Key encapsulation mechanism based on ring-LWE and variant single-bit reconciliation

## **Bos, Costello, Naehrig, Stebila**

IEEE S&P 2015

- Implementation of ring-LWE key exchange, testing in TLS 1.2

# Basic LWE key agreement (unauthenticated)

Based on Lindner–Peikert LWE public key encryption scheme

public: “big”  $A$  in  $\mathbf{Z}_q^{n \times m}$

**Alice**

secret:

random “small”  $s, e$  in  $\mathbf{Z}_q^m$

**Bob**

secret:

random “small”  $s', e'$  in  $\mathbf{Z}_q^n$

$$b = As + e$$



$$b' = s'A + e'$$



shared secret:

$$b's = s'As + e's \approx s'As$$

shared secret:

$$s'b \approx s'As$$

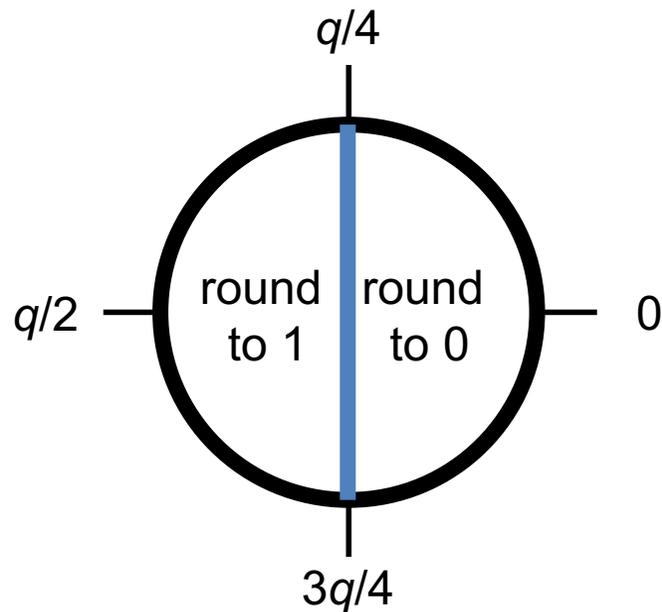
These are only approximately equal  $\Rightarrow$  need rounding

# Rounding

- Each coefficient of the polynomial is an integer modulo  $q$
- Treat each coefficient independently
- Techniques by Ding [Din12] and Peikert [Pei14]

# Basic rounding

- Round either to 0 or  $q/2$
- Treat  $q/2$  as 1

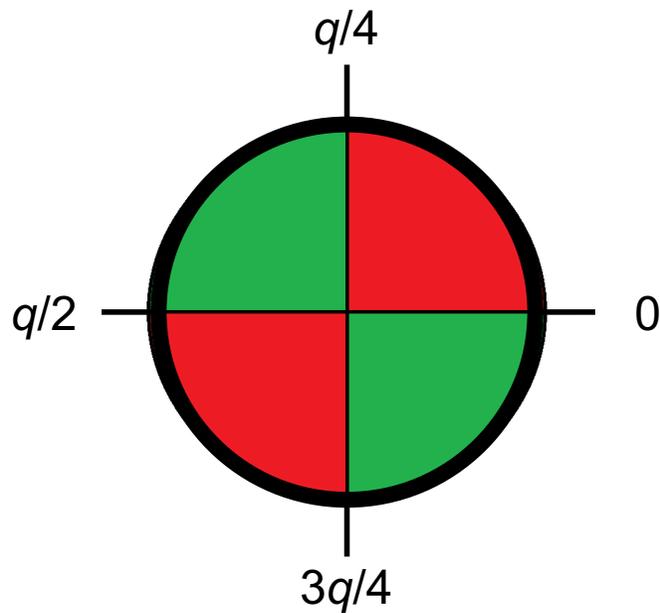


This works  
most of the time:  
prob. failure  $2^{-10}$ .

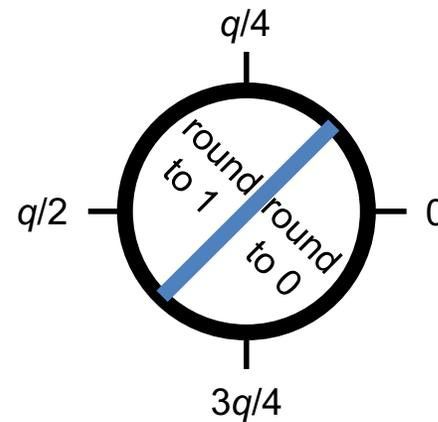
Not good enough:  
we need exact key  
agreement.

# Rounding (Peikert)

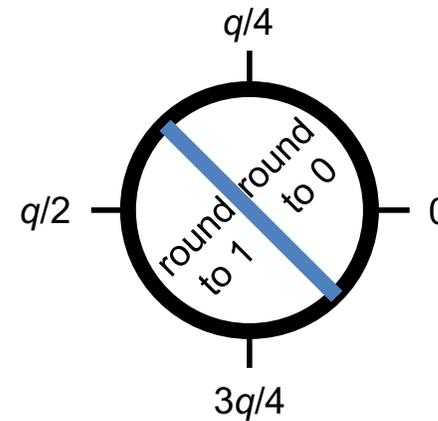
Bob says which of two regions the value is in:  or 



If

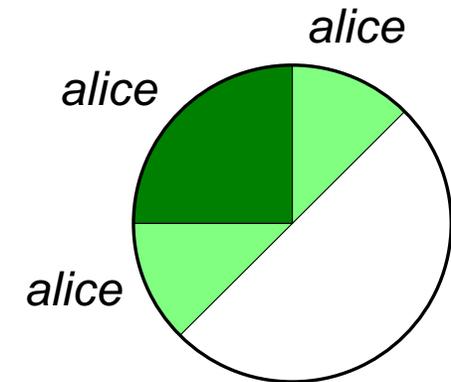
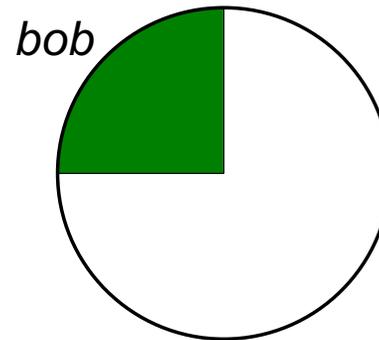
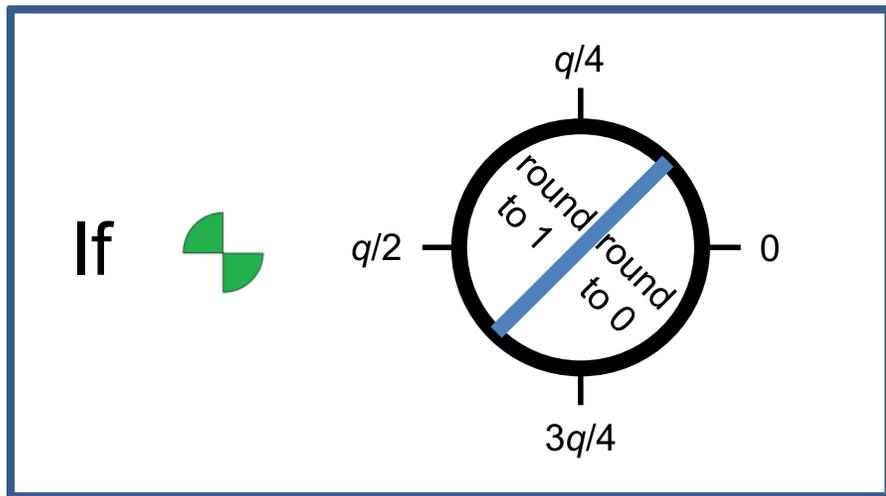


If



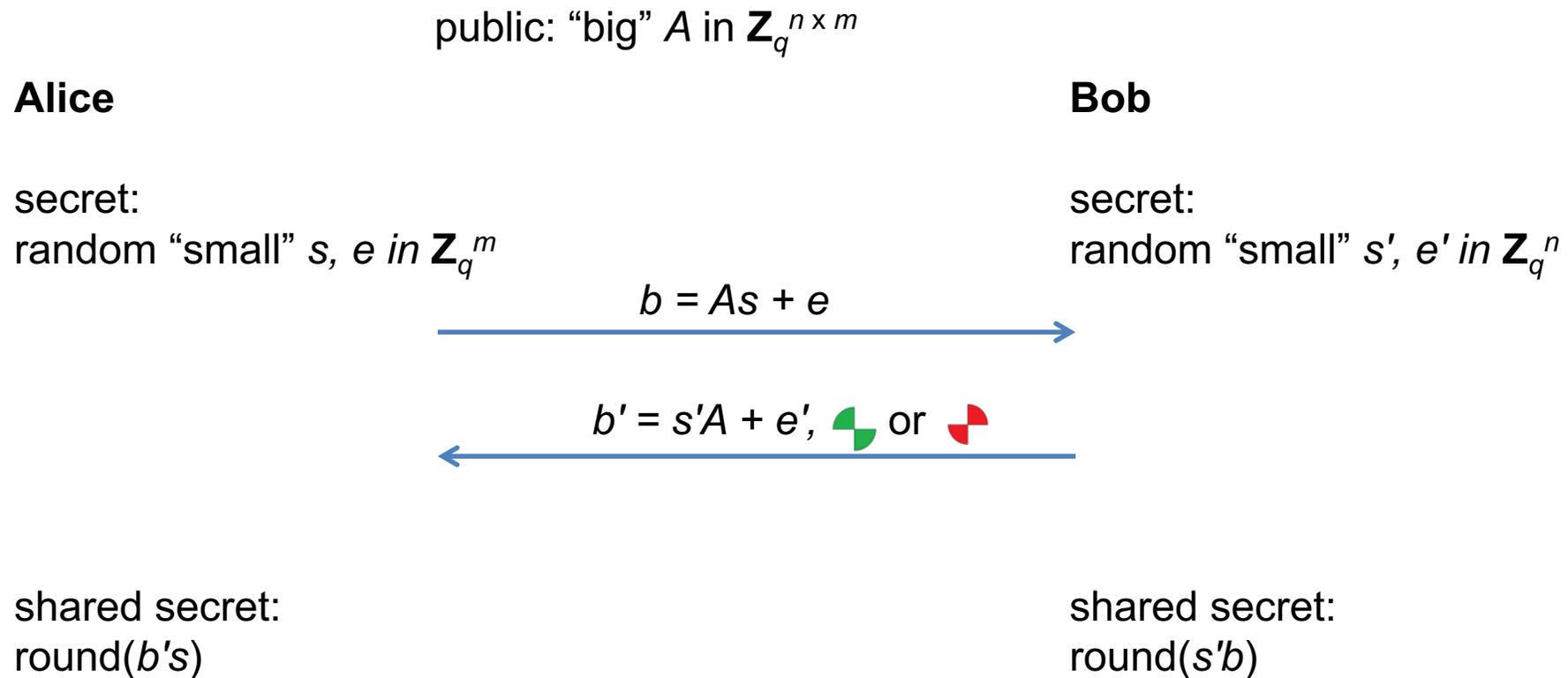
# Rounding (Peikert)

- If  $| \textit{alice} - \textit{bob} | \leq q/8$ , then this always works.



- Security not affected: revealing  or  leaks no information

# Exact LWE key agreement (unauthenticated)



# Exact ring-LWE key agreement (unauthenticated)

public: "big"  $a$  in  $R_q = \mathbf{Z}_q[x]/(x^n+1)$

**Alice**

**Bob**

secret:

secret:

random "small"  $s, e$  in  $R_q$

random "small"  $s', e'$  in  $R_q$

$$b = a \cdot s + e$$



$$b' = a \cdot s' + e', \quad \text{or}$$



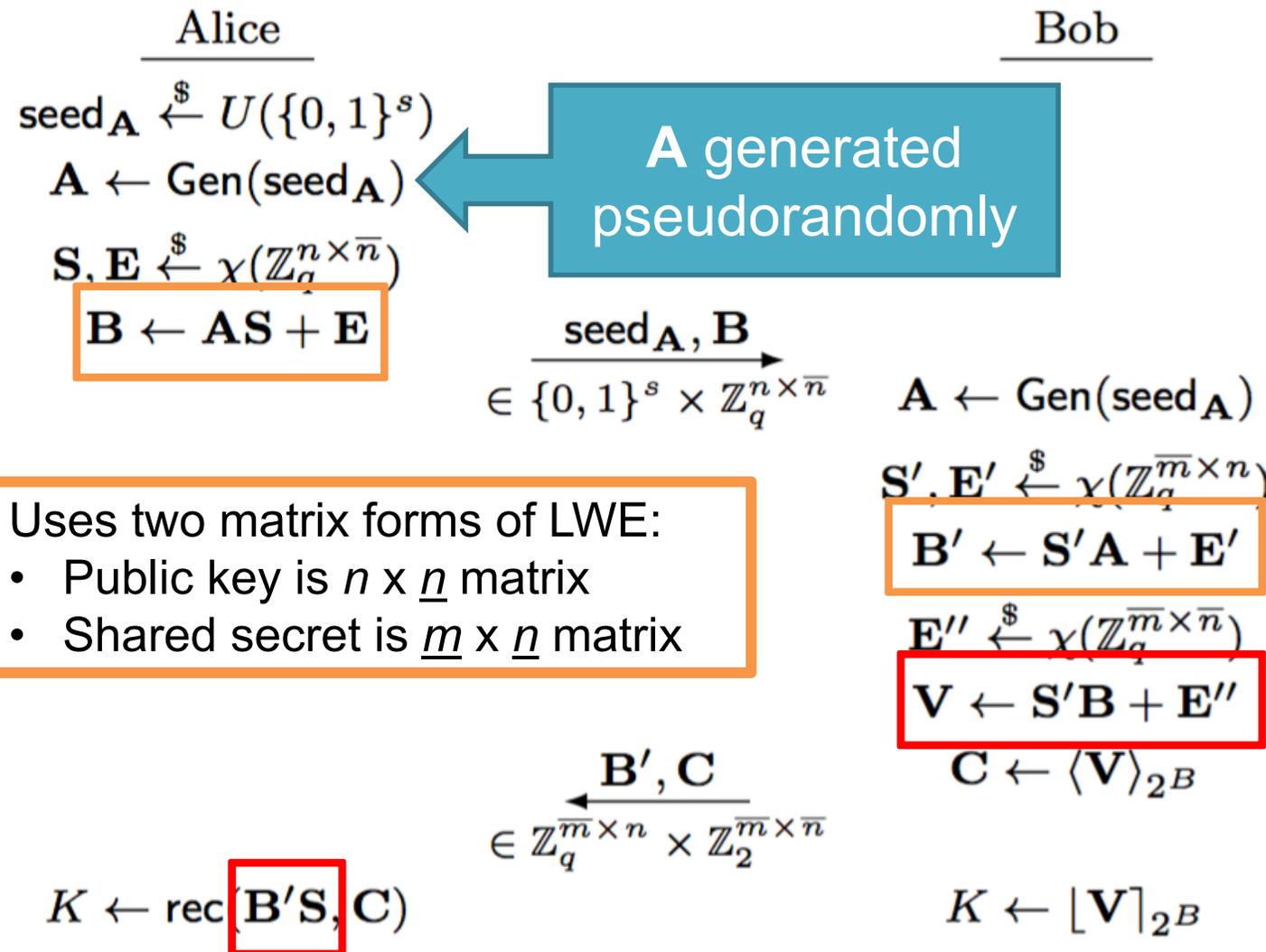
shared secret:

shared secret:

$$\text{round}(s \cdot b')$$

$$\text{round}(b \cdot s')$$

# Exact LWE key agreement – "Frodo"



Uses two matrix forms of LWE:

- Public key is  $n \times \underline{n}$  matrix
- Shared secret is  $\underline{m} \times \underline{n}$  matrix

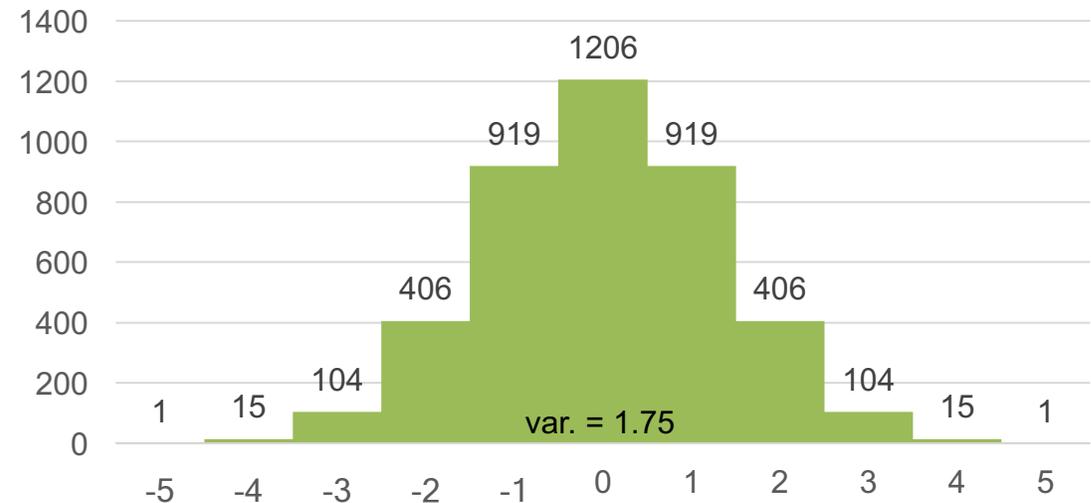
Secure if decision learning with errors problem is hard (and Gen is a random oracle).

# Rounding

- We extract 4 bits from each of the 64 matrix entries in the shared secret.
  - More granular form of Peikert's rounding.

Parameter sizes, rounding, and error distribution all found via search scripts.

# Error distribution



- Close to discrete Gaussian in terms of Rényi divergence (1.000301)
- Only requires 12 bits of randomness to sample

# Parameters

All known variants of the sieving algorithm require a list of vectors to be created of this size

## “Recommended”

- 144-bit classical security, 130-bit quantum security, 103-bit plausible lower bound
- $n = 752, m = 8, q = 2^{15}$
- $\chi$  = approximation to rounded Gaussian with 11 elements
- Failure:  $2^{-38.9}$
- Total communication: 22.6 KiB

## “Paranoid”

- 177-bit classical security, 161-bit quantum security, 128-bit plausible lower bound
- $n = 864, m = 8, q = 2^{15}$
- $\chi$  = approximation to rounded Gaussian with 13 elements
- Failure:  $2^{-33.8}$
- Total communication: 25.9 KiB

# Exact ring-LWE key agreement – "BCNS15"

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BCNS15

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Public parameters:  $n, q, \chi, a \leftarrow_s \mathcal{U}(R_q)$

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**Alice**

**Bob**

$s, e \leftarrow_s \chi(R_q)$

$\tilde{b} \leftarrow as + e \in R_q$

$\xrightarrow{b}$

$s', e' \leftarrow_s \chi(R_q)$

$\tilde{b}' \leftarrow as' + e' \in R_q$

$e'' \leftarrow_s \chi(R_q)$

$\tilde{v} \leftarrow bs' + e'' \in R_q$

$\bar{v} \leftarrow_s \text{dbl}(\tilde{v}) \in R_{2q}$

$\xleftarrow{\tilde{b}', c}$

$c \leftarrow \langle \bar{v}/2 \rangle_2 \in \{0, 1\}^n$

$k_B \leftarrow \lfloor \bar{v}/2 \rfloor_2 \in \{0, 1\}^n$

$k_A \leftarrow \text{rec}_2(\tilde{b}'s, c) \in \{0, 1\}^n$

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# Parameters

160-bit classical security,  
80-bit quantum security

- $n = 1024$
- $q = 2^{32} - 1$
- $\chi$  = discrete Gaussian with parameter  $\sigma = 8/\sqrt{2\pi}$
- Failure:  $2^{-12800}$
- Total communication: 8.1 KiB

# “NewHope”

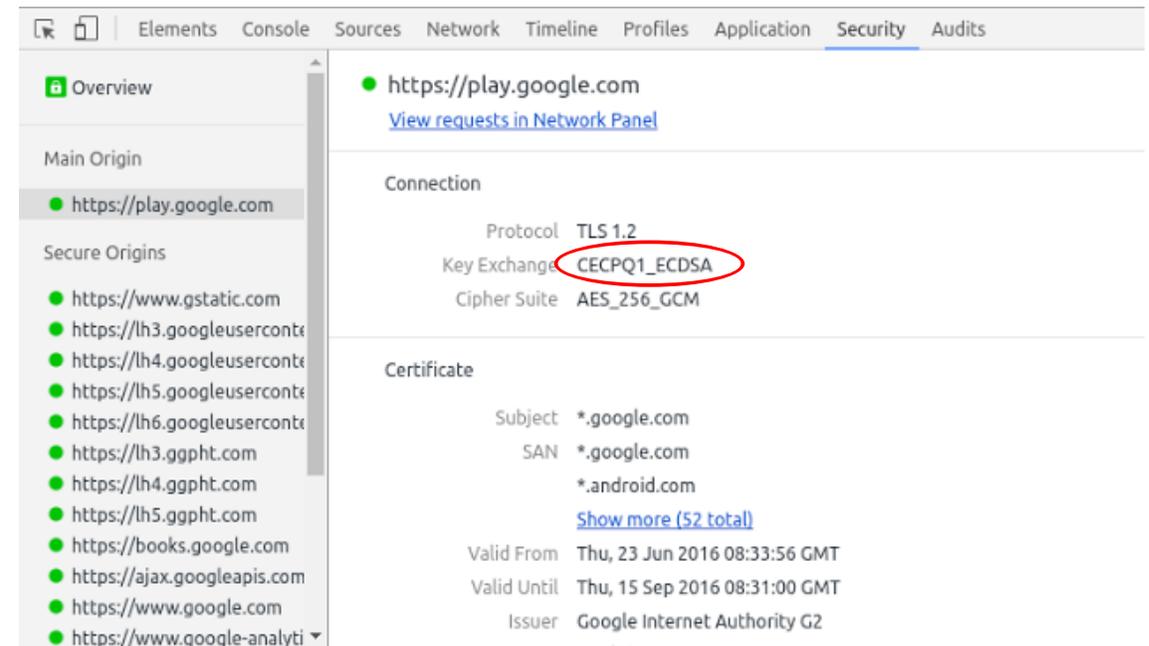
Alkim, Ducas, Pöppelman, Schwabe.  
*USENIX Security 2016*

- New parameters
- Different error distribution
- Improved performance
- Pseudorandomly generated parameters
- Further performance improvements by others [GS16, LN16, AOPPS17, ...]

## Google Security Blog

### Experimenting with Post-Quantum Cryptography

July 7, 2016



The screenshot shows the Chrome DevTools Security panel for the URL <https://play.google.com>. The panel is divided into two main sections: Connection and Certificate. In the Connection section, the following details are listed:

- Protocol: TLS 1.2
- Key Exchange: **CECPQ1\_ECDSA** (circled in red)
- Cipher Suite: AES\_256\_GCM

In the Certificate section, the following details are listed:

- Subject: \*.google.com
- SAN: \*.google.com, \*.android.com
- Valid From: Thu, 23 Jun 2016 08:33:56 GMT
- Valid Until: Thu, 15 Sep 2016 08:31:00 GMT
- Issuer: Google Internet Authority G2

# Evaluation

## Our implementations

- Ring-LWE BCNS15
- LWE Frodo

Pure C implementations

Constant time

## Compare with others

- RSA 3072-bit (OpenSSL 1.0.1f)
- ECDH nistp256 (OpenSSL)

Use assembly code

- Ring-LWE NewHope
- NTRU EES743EP1
- SIDH (Isogenies) (MSR)

Pure C implementations

# Post-quantum key exchange performance

	Speed		Communication	
RSA 3072-bit	Fast	4 ms	Small	0.3 KiB
ECDH <code>nistp256</code>	Very fast	0.7 ms	Very small	0.03 KiB
Code-based	Very fast	0.5 ms	Very large	360 KiB
NTRU	Very fast	0.3–1.2 ms	Medium	1 KiB
Ring-LWE	Very fast	0.2–1.5 ms	Medium	2–4 KiB
LWE	Fast	1.4 ms	Large	11 KiB
Isogenies (SIDH)	Med.–slow	15–400 ms	Small	0.5 KiB

# Post-quantum signature sizes

	Public key		Signature	
RSA 3072-bit	Small	0.3 KiB	Small	0.3 KiB
ECDSA <code>nistp256</code>	Very small	0.03 KiB	Very small	0.03 KiB
Hash-based (stateful)	Small	0.9 KiB	Medium	3.6 KiB
Hash-based (stateless)	Small	1 KiB	Large	32 KiB
Lattice-based (ignoring tightness)	Medium	1.5–8 KiB	Medium	3–9 KiB
Lattice-based (respecting tightness)	Very large	1330 KiB	Small	1.2 KiB
Isogenies (SIDH)	Small	1.5 KiB	Very large	141 KiB

# Transitioning to PQ crypto

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# Retroactive decryption

- A passive adversary that records today's communication can decrypt once they get a quantum computer
  - Not a problem for some people
  - Is a problem for other people
- How to provide potential post-quantum security to early adopters?

# Hybrid ciphersuites

- Use pre-quantum and post-quantum algorithms together
- Secure if either one remains unbroken

Need to consider backward compatibility for non-hybrid-aware systems

## Why hybrid?

- Potential post-quantum security for early adopters
- Maintain compliance with older standards (e.g. FIPS)
- Reduce risk from uncertainty on PQ assumptions/parameters

# Hybrid ciphersuites

	Key exchange	Authentication
1	Hybrid traditional + PQ	Single traditional
2	Hybrid traditional + PQ	Hybrid traditional + PQ
3	Single PQ	Single traditional
4	Single PQ	Single PQ

Likely focus for next 10 years

# Hybrid key exchange in TLS 1.2

Create a new DH-style ciphersuite with a new key exchange method

- Within the ClientKeyExchange and ServerKeyExchange, convey an ECDH public key and a PQ public key using some internal concatenation format
- Compute two shared secrets, use their concatenation as the premaster secret

# Experiments for hybrid key exchange in TLS 1.2

## Several papers and prototypes:

- Bos, Costello, Naehrig, Stebila, S&P 2015
- Bos, Costello, Ducas, Mironov, Naehrig, Nikolaenko, Raghunathan, Stebila, ACM CCS 2016
- Google Chrome experiment
- liboqs OpenSSL fork
  - <https://openquantumsafe.org/>

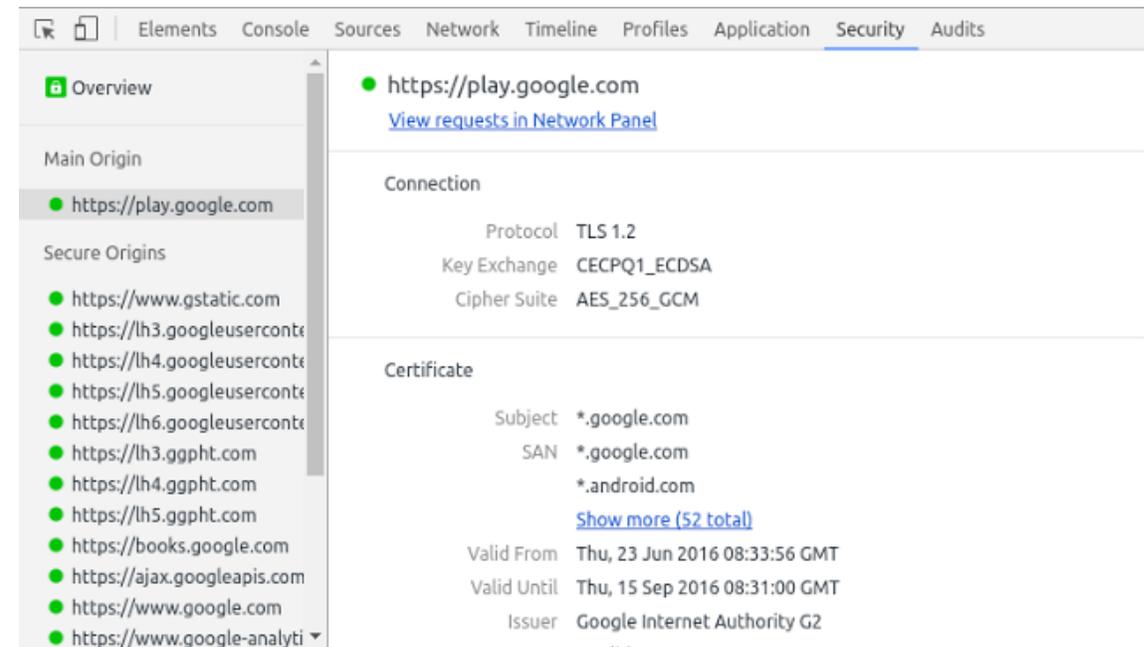
## No backwards compatibility issues

- <https://www.imperialviolet.org/2016/11/28/cecpq1.html>

## Google Security Blog

### Experimenting with Post-Quantum Cryptography

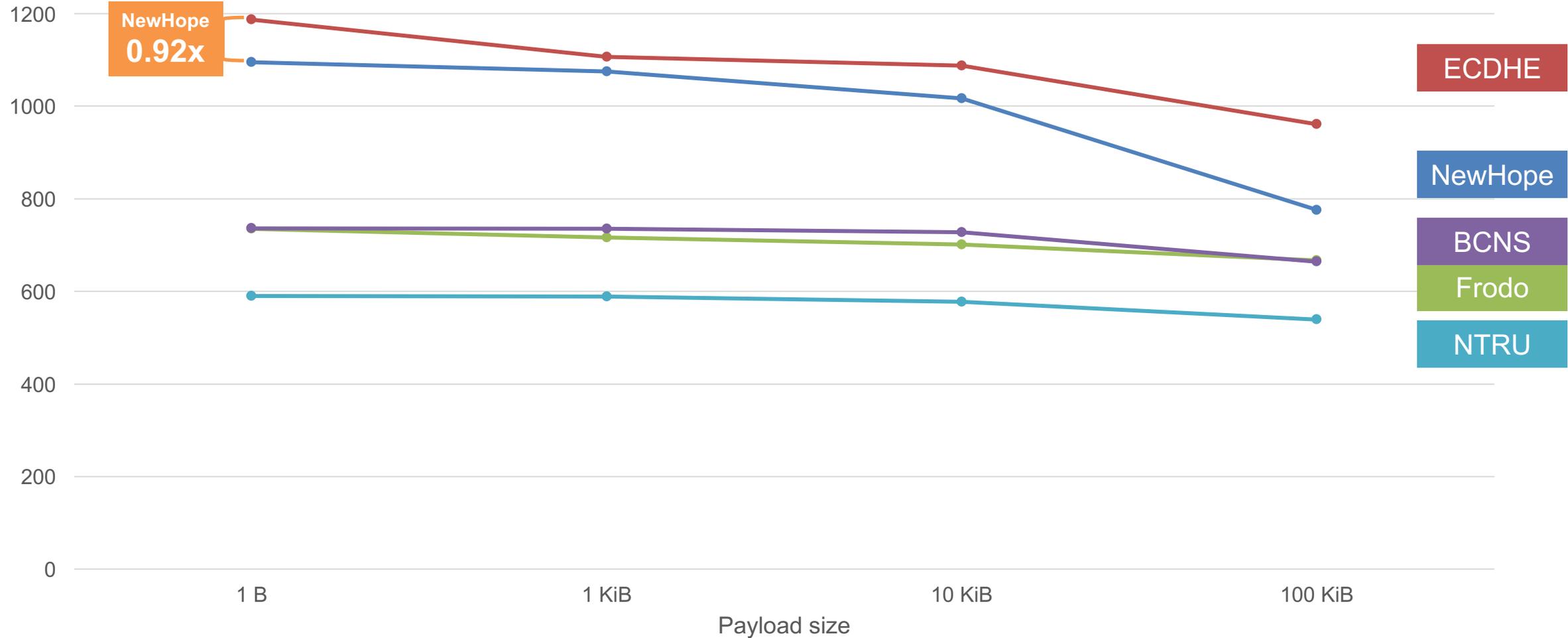
July 7, 2016



# TLS connection throughput – hybrid w/ECDHE

ECDSA signatures

bigger (top) is better



NewHope  
0.92x

- ECDHE
- NewHope
- BCNS
- Frodo
- NTRU

# Open Quantum Safe

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<https://openquantumsafe.org/>

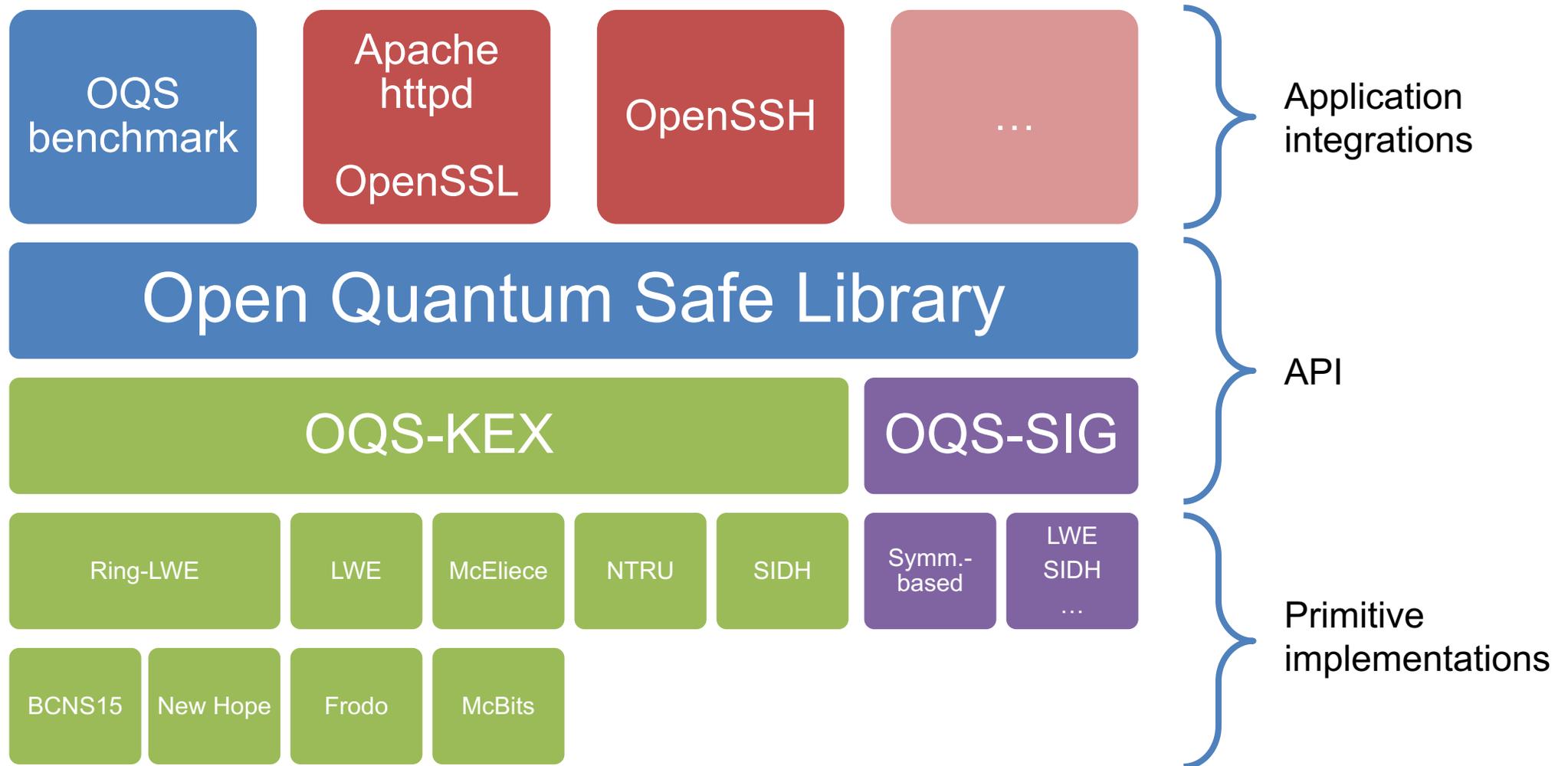
# Open Quantum Safe

- MIT-licensed open-source project on Github
  - <https://openquantumsafe.org/>
  - <https://github.com/open-quantum-safe/>
- liboqs: C language library, common API
- Builds on x86 (Linux, Mac, Windows), ARM (Android, Linux)

# Open Quantum Safe

1. Collect post-quantum implementations together
  - Our own software
  - Thin wrappers around existing open source implementations
  - Contributions from others
2. Enable direct comparison of implementations
  - See also eBACS/SUPERCOP
3. Support prototype integration into application level protocols
  - Don't need to re-do integration for each new primitive – how we did Frodo experiments

# Open Quantum Safe architecture



# liboqs: Current algorithms

## Key exchange

- **Ring-LWE:**
  - BCNS15
  - NewHope
  - MSR NewHope improvements
- **LWE:** Frodo
- **M-LWE:** Kyber
- **NTRU**
- **SIDH (Supersingular isogeny Diffie–Hellman):**
  - MSR
  - IQC
- **Code:** McBits

## Digital signatures

- **Symmetric-based:**
  - Picnic

# liboqs: Benchmarking

- Built-in key exchange benchmarking suite
  - `./test_kex --bench`
- Gives cycle counts and ms runtimes
- Also have memory usage benchmarks

# liboqs: Application integrations

## OpenSSL v1.0.2:

- Ciphersuites using key exchange algorithms from liboqs
- Integrated into `openssl speed` benchmarking command and `s_client` and `s_server` command-line programs
- Track OpenSSL 1.0.2 stable with regular updates
  - <https://github.com/open-quantum-safe/openssl>
- Successfully used in Apache httpd and OpenVPN (with no modifications!)

## OpenSSH:

- Using key exchange algorithms from liboqs
- Patch contributed by Microsoft Research
  - <https://github.com/Microsoft/PQCrypto-PatchforOpenSSH>

# Summary

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# Quantum-safe crypto

## Classical post-quantum crypto

### Hash-based

- Merkle signatures
- Sphincs

### Code-based

- McEliece
- Niederreiter

### Multivariate

- multivariate quadratic

### Lattice-based

- NTRU
- learning with errors
- ring-LWE

### Isogenies

- supersingular elliptic curve isogenies

## Quantum crypto

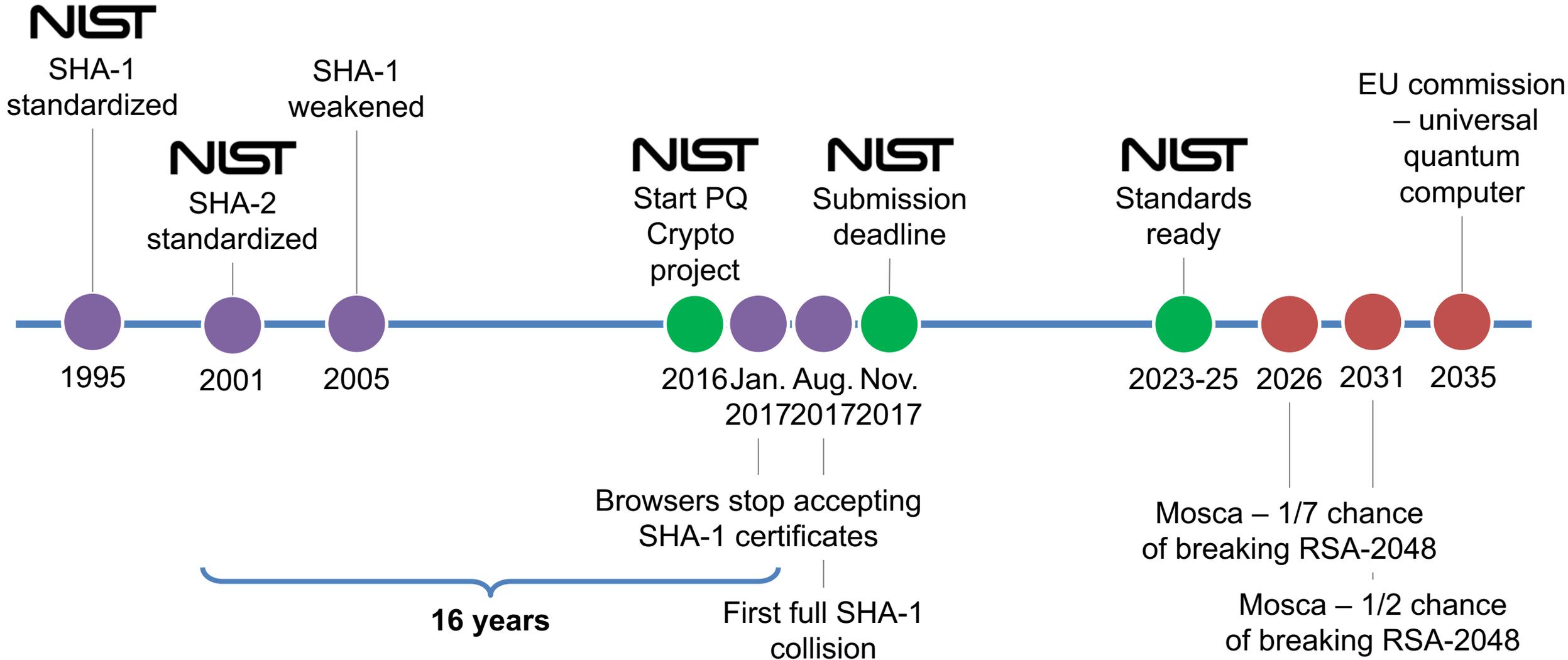
**Quantum key distribution**

**Quantum random number generators**

**Quantum channels**

**Quantum blind computation**

# Timeline



# Practical post-quantum key exchange

Douglas Stebila 

## Survey paper

- <https://eprint.iacr.org/2016/1017>

## Open Quantum Safe project

- <https://openquantumsafe.org/>

## LWE key exchange (Frodo)

- <https://github.com/lwe-frodo>
- <https://eprint.iacr.org/2016/659>

## Hybrid PKI

- <https://eprint.iacr.org/2017/460>

# Appendix

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# Lindner–Peikert public key encryption

Let  $n, q, \chi$  be LWE parameters.

- $\text{KeyGen}()$ :  $\mathbf{s} \xleftarrow{\$} \chi(\mathbb{Z}^n)$ .  $\mathbf{A} \xleftarrow{\$} \mathbb{Z}_q^{n \times n}$ .  $\mathbf{e} \xleftarrow{\$} \chi(\mathbb{Z}^n)$ .  $\tilde{\mathbf{b}} \leftarrow \mathbf{A}\mathbf{s} + \mathbf{e}$ .  
Return  $pk \leftarrow (\mathbf{A}, \tilde{\mathbf{b}})$  and  $sk \leftarrow \mathbf{s}$ .
- $\text{Enc}(pk, x \in \{0, 1\})$ :  $\mathbf{s}' \xleftarrow{\$} \chi(\mathbb{Z}^n)$ .  $\mathbf{e}' \xleftarrow{\$} \chi(\mathbb{Z}^n)$ .  $\tilde{\mathbf{b}}' \leftarrow \mathbf{s}'\mathbf{A} + \mathbf{e}'$ .  $e'' \xleftarrow{\$} \chi(\mathbb{Z})$ .  
 $\tilde{v}' \leftarrow \langle \mathbf{s}', \tilde{\mathbf{b}} \rangle + e''$ .  $c \leftarrow \text{encode}(x) + \tilde{v}'$ . Return  $ctxt \leftarrow (\tilde{\mathbf{b}}', c)$ .
- $\text{Dec}(sk, (\tilde{\mathbf{b}}', c))$ :  $v \leftarrow \langle \tilde{\mathbf{b}}', \mathbf{s} \rangle$ . Return  $\text{decode}(c - v)$ .

# Encode/decode

$$\text{encode}(x \in \{0, 1\}) \leftarrow x \cdot \left\lfloor \frac{q}{2} \right\rfloor$$

$$\text{decode}(\bar{x} \in \mathbb{Z}_q) \leftarrow \begin{cases} 0, & \text{if } \bar{x} \in \left[-\left\lfloor \frac{q}{4} \right\rfloor, \left\lfloor \frac{q}{4} \right\rfloor\right) \\ 1, & \text{otherwise} \end{cases}$$

# Correctness

Sender and receiver approximately compute the same shared secret  $\mathbf{s}'\mathbf{A}\mathbf{s}$

$$\tilde{v}' = \langle \mathbf{s}', \tilde{\mathbf{b}} \rangle + e'' = \mathbf{s}'(\mathbf{A}\mathbf{s} + \mathbf{e}) + e'' = \mathbf{s}'\mathbf{A}\mathbf{s} + \langle \mathbf{s}', \mathbf{e} \rangle + e'' \approx \mathbf{s}'\mathbf{A}\mathbf{s}$$

$$v = \langle \tilde{\mathbf{b}}', \mathbf{s} \rangle = (\mathbf{s}'\mathbf{A} + \mathbf{e}')\mathbf{s} = \mathbf{s}'\mathbf{A}\mathbf{s} + \langle \mathbf{e}', \mathbf{s} \rangle \approx \mathbf{s}'\mathbf{A}\mathbf{s}$$